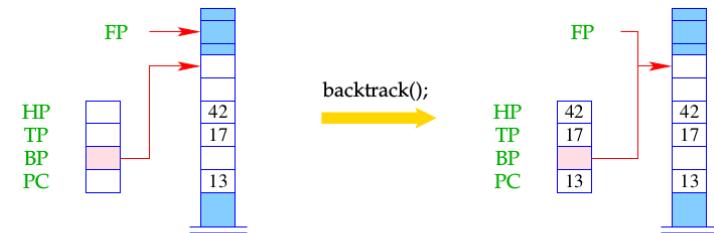


## Script generated by TTT

Title: Seidl: Virtual\_Machines (07.06.2016)  
Date: Tue Jun 07 10:24:18 CEST 2016  
Duration: 90:45 min  
Pages: 46

Calling the run-time function `void backtrack()` yields:



```
void backtrack() {  
    FP = BP; HP = HPold;  
    reset(TPol, TP);  
    TP = TPol; PC = negCont;  
}
```

where the run-time function `reset()` undoes the bindings of variables established since the backtrack point.

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## 33.2 Trailing and Resetting Variables

### Idea

- The variables which have been created since the last backtrack point can be removed together with their bindings by popping the heap !!
- Bindings of variables in the old heap section, though, must be reset explicitly.
- These are therefore recorded in the trail.

Functions `void trail(ref u)` and `void reset (ref y, ref x)` can thus be implemented as:

```
void trail (ref u) {  
    if (u < S[BP-2]) {  
        TP = TP+1;  
        T[TP] = u;  
    }  
}  
  
void reset (ref x, ref y) {  
    for (ref u=y; x<u; u--)  
        H[T[u]] = (R,T[u]);  
}
```

Here, `S[BP-2]` represents the heap pointer when creating the last backtrack point.

300

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### 33.3 Wrapping it Up

Assume that the predicate  $q/k$  is defined by the clauses  $rr \equiv r_1, \dots, r_f$  ( $f > 1$ ).

We provide code for:

- **setting** up the backtrack point;
- successively **trying** the alternatives;
- **deleting** the backtrack point.

This means:

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```
codeP rr = q/k: setbtp ←
              try A1 ←
              ...
              try Af-1
              delbtp ←
              jump Af
A1: codec r1
...
Af: codec rf
```

#### Remark

- We delete the backtrack point **before** the last alternative.
- We **jump** to the last alternative — never to return to the present frame!

303

### Example

```
s(X) ← t(̄X)
s(X) ← ̄X = a
```

The translation of the predicate s yields:

s/1:	setbtp	A:	pushenv 1	B:	pushenv 1
	try A		mark C		putref 1
	delbtp		putref 1		uatom a
	jump B		call t/1		popenv
		C:			popenv

304

```
codeP rr = q/k: setbtp
              try A1
              ...
              try Af-1
              delbtp
              jump Af
A1: codec r1
...
Af: codec rf
```

#### Remark

- We delete the backtrack point **before** the last alternative.
- We **jump** to the last alternative — never to return to the present frame!

303

### Example

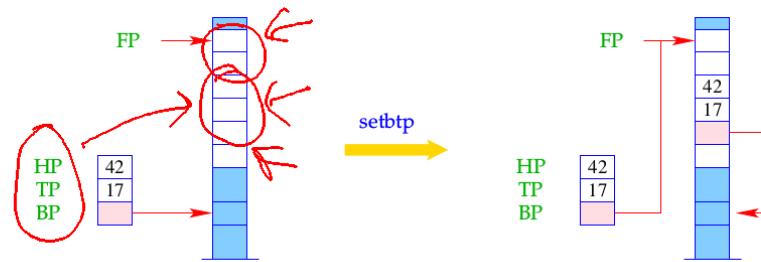
```
s(X) ← t(̄X)
s(X) ← ̄X = a
```

The translation of the predicate s yields:

```
s/1: setbtp A: pushenv 1 B: pushenv 1
      try A           mark C           putref 1
      delbtp          putref 1         uatom a
      jump B          call t/1       popenv
      C: popenv
```

304

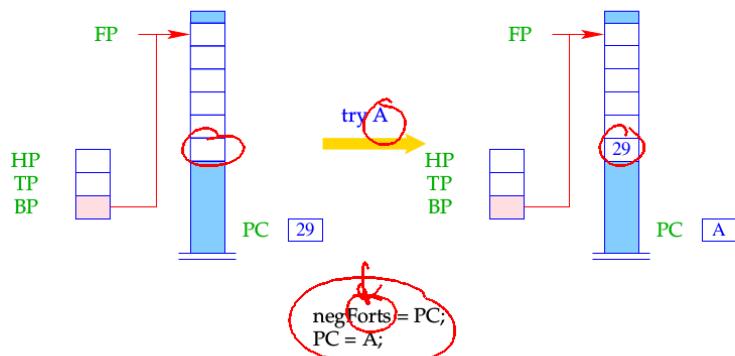
The instruction `setbtp` saves the registers `HP`, `TP`, `BP`:



`HPold` = `HP`;
`TPold` = `TP`;
`BPold` = `BP`;
`BP` = `FP`;

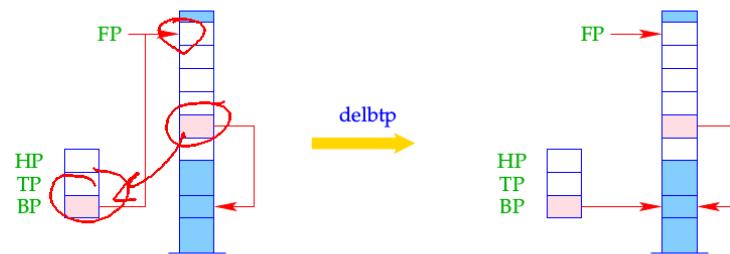
305

The instruction `try A` tries the alternative at address `A` and updates the negative continuation address to the current `PC`:



306

The instruction `delbtp` restores the old backtrack pointer:



`BP` = `BPold`;

307

### 33.4 Popping of Stack Frames

Recall the translation scheme for clauses:

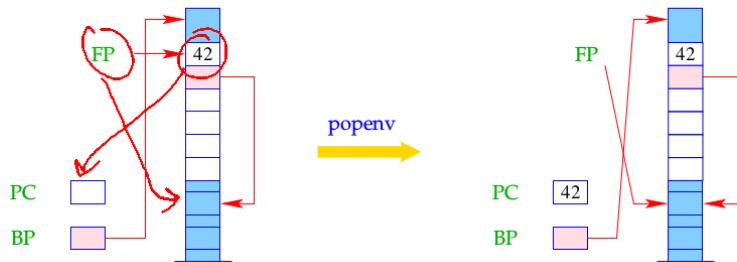
```
codeC r = pushenv m
          codeG g1 ρ
          ...
          codeG gn ρ
          popenv
```

The present stack frame can be popped ...

- if the applied clause was the *last* (or *only*); and
- if all goals in the body are definitely *finished*.

the backtrack point is *older*  
 FP > BP

308

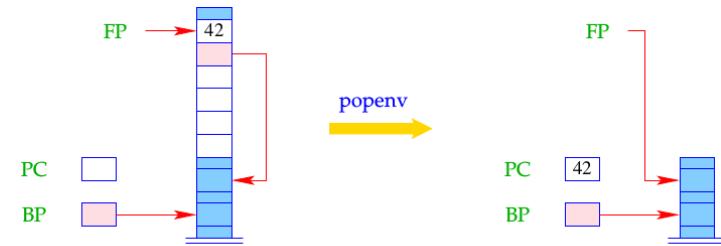


if (FP > BP) SP = FP - 6;  
 PC = posCont;  
 FP = FPold;

If popping the stack frame fails, new data are allocated on top of the stack. When returning to the frame, the locals still can be accessed through the FP!

310

The instruction `popenv` restores the registers `FP` and `PC` and possibly pops the stack frame:



if (FP > BP) SP = FP - 6;  
 PC = posCont;  
 FP = FPold;

Caveat `popenv` may fail to de-allocate the frame !!!

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### 34 Queries and Programs

The translation of a program:  $p \equiv rr_1 \dots rr_h ? g$   
 consists of:

- an instruction `no` for failure;
- code for evaluating the literal `g`;
- code for the predicate definitions  $rr_i$ .

*Preceding* query evaluation:

- initialization of registers  
 allocation of space for the globals

*Succeeding* query evaluation:

- returning the values of globals

311

code <sub>$\rho$</sub>  = init A  
 pushenv d  
 code<sub>G</sub> g  $\rho$   
 halt d  
 no  
 code<sub>P</sub> rr<sub>1</sub>  
 ...  
 code<sub>P</sub> rr<sub>h</sub>

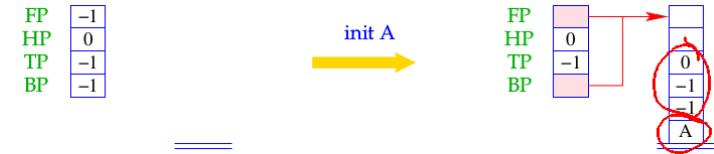
where  $free(g) = \{X_1, \dots, X_d\}$  and  $\rho$  is given by  $\rho X_i = i$ .

The instruction `halt d` ...

- ... terminates program execution;
- ... returns the bindings of the  $d$  globals;
- ... causes backtracking — if demanded by the user.

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The instruction `init A` is defined by:



BP = FP = SP = 5;  
 S[0] = A;  
 S[1] = S[2] = -1;  
 S[3] = 0;  
 BP = FP;

At address "A" for a failing goal we have placed the instruction `no` for printing `no` to the standard output and halt.

313

### The Final Example

$t(X) \leftarrow \bar{X} = b$	$q(X) \leftarrow s(\bar{X})$	$s(X) \leftarrow \bar{X} = a$
$p \leftarrow q(X), t(\bar{X})$	$s(X) \leftarrow t(\bar{X})$	? $p$

The translation yields:

init N	popenv	q/1:	pushenv 1	E: pushenv 1
pushenv 0	p/0:	pushenv 1	mark D	mark G
mark A		mark B	putref 1	putref 1
call p/0		putvar 1	call s/1	call t/1
A: halt 0		call q/1	D: popenv	G: popenv
N: no	B: mark C	s/1:	setbtp	F: pushenv 1
t/1: pushenv 1			try E	putref 1
putref 1			delbtp	uatom a
uatom b	C: popenv		jump F	popenv

314

### The Final Example

$t(X) \leftarrow \bar{X} = b$	$q(X) \leftarrow s(\bar{X})$	$s(X) \leftarrow \bar{X} = a$
$p \leftarrow q(X), t(\bar{X})$	$s(X) \leftarrow t(\bar{X})$	? $p$

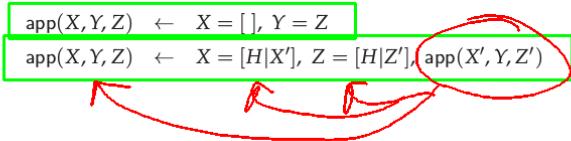
The translation yields:

init N	popenv	q/1:	pushenv 1	E: pushenv 1
pushenv 0	p/0:	pushenv 1	mark D	mark G
mark A		mark B	putref 1	putref 1
call p/0		putvar 1	call s/1	call t/1
A: halt 0		call q/1	D: popenv	G: popenv
N: no	B: mark C	s/1:	setbtp	F: pushenv 1
t/1: pushenv 1			try E	putref 1
putref 1			delbtp	uatom a
uatom b	C: popenv		jump F	popenv

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## 35 Last Call Optimization

Consider the app predicate from the beginning:

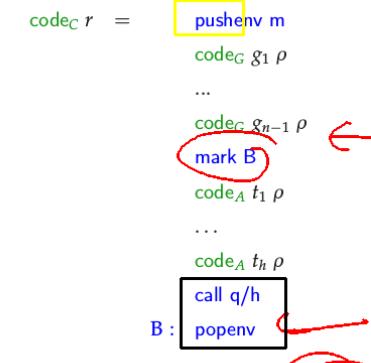


We observe:

- The recursive call occurs in the **last** goal of the clause.
- Such a goal is called **last call**.
  - $\Rightarrow$  we try to evaluate it in the **current** stack frame !!!
  - $\Rightarrow$  after (successful) completion, we will not return to the current caller !!!

315

Consider a clause  $r$ :  
with **m** locals where  
 $p(X_1, \dots, X_k) \leftarrow g_1, \dots, g_n$   
 $g_n \equiv q(t_1, \dots, t_h)$ . The interplay between  $\text{code}_C$  and  $\text{code}_G$ :



Replacement:  $\begin{array}{ll} \text{mark B} & \Rightarrow \text{lastmark} \\ \text{call q/h; popenv} & \Rightarrow \text{lastcall q/h m} \end{array}$

316

Consider a clause  $r$ :  
 $p(X_1, \dots, X_k) \leftarrow g_1, \dots, g_n$   
with **m** locals where  
 $g_n \equiv q(t_1, \dots, t_h)$ . The interplay between  $\text{code}_C$  and  $\text{code}_G$ :

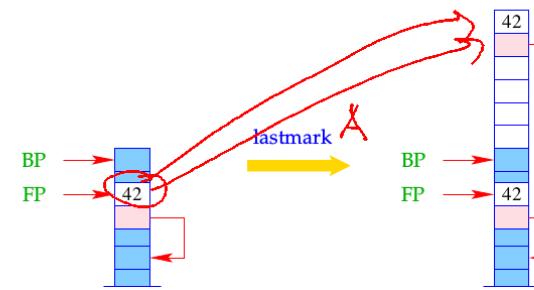
```
code_C r = pushenv m
          code_G g1 rho
          ...
          code_G gn-1 rho
          lastmark
          code_A t1 rho
          ...
          code_A th rho
          lastcall q/h m
```

Replacement:  $\begin{array}{ll} \text{mark B} & \Rightarrow \text{lastmark} \\ \text{call q/h; popenv} & \Rightarrow \text{lastcall q/h m} \end{array}$

317

If the current clause is not **last** or the  $g_1, \dots, g_{n-1}$  have created backtrack points, then  $\text{FP} \leq \text{BP}$ .

Then **lastmark** creates a new frame but stores a reference to the **predecessor**:



```
if (FP <= BP) {
  SP = SP + 6;
  S[SP] = posCont; S[SP-1] = FPold;
}
```

If  $\text{FP} > \text{BP}$  then **lastmark** does nothing.

318

If  $FP \leq BP$ , then `lastcall q/h m` behaves like a normal `call q/h`.

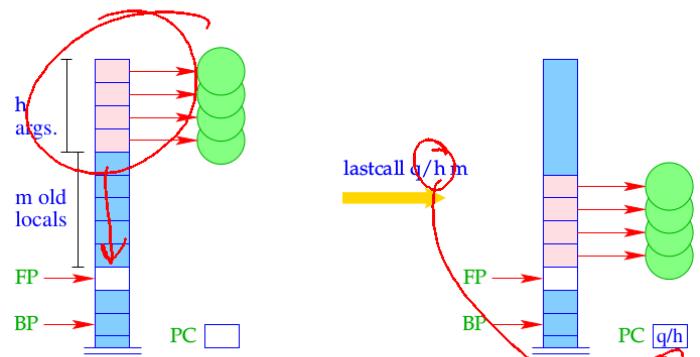
Otherwise, the current stack frame is re-used. This means that:

- the cells  $S[FP+1], S[FP+2], \dots, S[FP+m]$  receive the new values and
- `q/h` can be jumped to.

```
lastcall q/h m = if (FP ≤ BP) call q/h;
else {
    move m h;
    jump q/h;
}
```

The difference between the old and the new addresses of the parameters `m` just equals the number of the **local variables** of the current clause.

319



320

If  $FP \leq BP$ , then `lastcall q/h m` behaves like a normal `call q/h`.

Otherwise, the current stack frame is re-used. This means that:

- the cells  $S[FP+1], S[FP+2], \dots, S[FP+m]$  receive the new values and
- `q/h` can be jumped to.

```
lastcall q/h m = if (FP ≤ BP) call q/h;
else {
    move m h;
    jump q/h;
}
```

The difference between the old and the new addresses of the parameters `m` just equals the number of the **local variables** of the current clause.

319

### Example

Consider the clause:

$a(X, Y) \leftarrow f(\bar{X}, X_1) \quad a(\bar{X}_1, \bar{Y})$

The last-call optimization for `codeC r` yields:

<code>pushenv 3</code>	<code>mark A</code> <code>putref 1</code> <code>putvar 3</code> <code>call f/2</code>	<code>A: lastmark</code> <code>putref 3</code> <code>putref 2</code> <code>lastcall a/2 3</code>
------------------------	--	---

321

### Example

Consider the clause:

$$a(X, Y) \leftarrow f(\bar{X}, X_1), a(\bar{X}_1, \bar{Y})$$

The last-call optimization for `codec r` yields:

	mark A	A:	lastmark
pushenv 3	putref 1	putref 3	
	putvar 3	putref 2	
	call f/2	lastcall a/2 3	

### Remark

If the clause is **last**, and the last literal is the **only one**, we can skip **lastmark** and can replace **lastcall q/h m** with the sequence **move m n; jump p/n.**

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### Example

Consider the **last** clause of the app predicate:

$$\text{app}(X, Y, Z) \leftarrow \bar{X} = [H|X'], \bar{Z} = [\bar{H}|Z'], \text{app}(\bar{X}', \bar{Y}, \bar{Z}')$$

Here, the last call is the **only one**. Consequently, we obtain:

A:	pushenv 6	uref 4	bind
	putref 1	B: putvar 4	E: bind
	ustruct []/2	putvar 5	putref 5
	son 1	putstruct []/2	putref 2
	uvar 4	up E	putref 6
	son 2	D: check 4	move 6 3
	C: putref 3	putref 4	jump app/3
	uvar 5	putvar 6	
	up C	putstruct []/2	
	son 1		

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## 36 Trimming of Stack Frames

### Idea

- Order local variables according to their **life times**;
- Pop the **dead** variables — if possible.

324

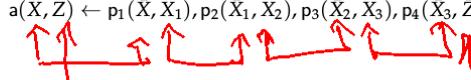
## 36 Trimming of Stack Frames

### Idea

- Order local variables according to their **life times**;
- Pop the **dead** variables — if possible!

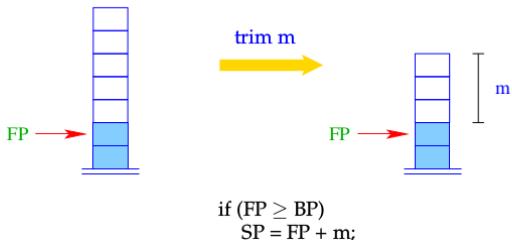
### Example

Consider the clause:

$$a(X, Z) \leftarrow p_1(\bar{X}, X_1), p_2(\bar{X}_1, X_2), p_3(\bar{X}_2, X_3), p_4(\bar{X}_3, \bar{Z})$$


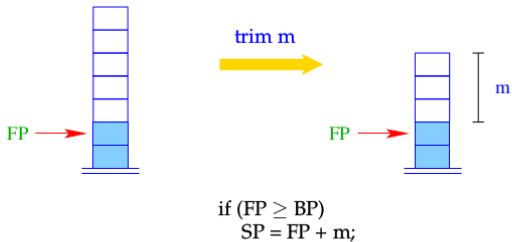
325

After every non-last goal with dead variables, we insert the instruction `trim` :



327

After every non-last goal with dead variables, we insert the instruction `trim` :



The dead locals can only be popped if no new backtrack point has been allocated.

328

## 36 Trimming of Stack Frames

### Idea

- Order local variables according to their [life times](#);
- Pop the [dead](#) variables — if possible.

### Example

Consider the clause:

$$a(X, Z) \leftarrow p_1(\bar{X}, X_1), p_2(\bar{X}_1, X_2), p_3(\bar{X}_2, X_3), p_4(\bar{X}_3, \bar{Z})$$

After the literal  $p_2(\bar{X}_1, X_2)$ , variable  $X_1$  is dead.

After the literal  $p_3(\bar{X}_2, X_3)$ , variable  $X_2$  is dead.

326

### Example (continued)

$$a(X, Z) \leftarrow p_1(\bar{X}, X_1), p_2(\bar{X}_1, X_2), p_3(\bar{X}_2, X_3), p_4(\bar{X}_3, \bar{Z})$$

Ordering of the variables:

$$\rho = \{X \mapsto 1, Z \mapsto 2, X_3 \mapsto 3, X_2 \mapsto 4, X_1 \mapsto 5\}$$

The resulting code:

<code>pushenv 5</code>	<code>A:</code>	<code>mark B</code>	<code>mark C</code>	<code>lastmark</code>
<code>mark A</code>		<code>putref 5</code>	<code>putref 4</code>	<code>putref 3</code>
<code>putref 1</code>		<code>putvar 4</code>	<code>putvar 3</code>	<code>putref 2</code>
<code>putvar 5</code>		<code>call p<sub>2</sub>/2</code>	<code>call p<sub>3</sub>/2</code>	<code>lastcall p<sub>4</sub>/2/3</code>
<code>call p<sub>1</sub>/2</code>	<code>B:</code>	<code>trim 4</code>	<code>C:</code>	<code>trim 3</code>

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## 37 Clause Indexing

### Observation

Often, predicates are implemented by case distinction on the first argument.

- ⇒ Inspecting the first argument, many alternatives can be excluded !
- ⇒ Failure is earlier detected !
- ⇒ Backtrack points are earlier removed !!
- ⇒ Stack frames are earlier popped !!!

330

### Example

Consider again the app-predicate, and assume that the code for the two clauses start at addresses  $A_1$  and  $A_2$ , respectively.

Then we obtain the following four try chains:

```
VAR: setbtp      // variables    NIL:   jump A1    // atom []
      try A1
      delbtp
      jump A2
      CONS: jump A2    // constructor []
      ELSE: fail        // default
```

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### Example

The app-predicate:

```
app(X,Y,Z) ← X = [ ], Y = Z
app(X,Y,Z) ← X = [H|X'], Z = [H|Z'], app(X',Y,Z')
```

- If the root constructor is  $[ ]$ , only the first clause is applicable.
- If the root constructor is  $[[]]$ , only the second clause is applicable.
- Every other root constructor should fail !!
- Only if the first argument equals an unbound variable, both alternatives must be tried :-)

331

### Example

Consider again the app-predicate, and assume that the code for the two clauses start at addresses  $A_1$  and  $A_2$ , respectively.

Then we obtain the following four try chains:

```
VAR: setbtp      // variables    NIL:   jump A1    // atom []
      try A1
      delbtp
      jump A2
      CONS: jump A2    // constructor []
      ELSE: fail        // default
```

333

Then we generate for the predicate p/k:

```
codeP rr = p/k: putref 1
           getNode // extracts the root label
           index p/k // jumps to the try block
           tchains rr
A1: codeC r1
...
Am: codeC rm
```

335

### Example

Consider again the app-predicate, and assume that the code for the two clauses start at addresses A<sub>1</sub> and A<sub>2</sub>, respectively.

Then we obtain the following four try chains:

VAR: setbtp	// variables	NIL: jump A <sub>1</sub>	// atom []
try A <sub>1</sub>			
delbtp		CONS: jump A <sub>2</sub>	// constructor []
jump A <sub>2</sub>			
		ELSE: fail	// default

The new instruction fail takes care of any constructor besides [] and [[]] ...

```
fail = backtrack()
```

It directly triggers backtracking ...

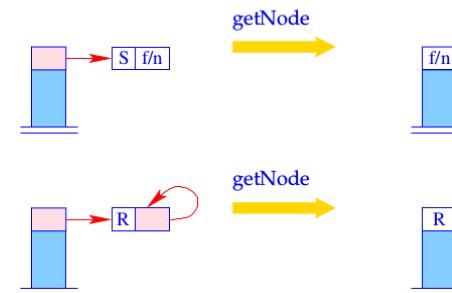
334

Then we generate for the predicate p/k:

```
codeP rr = p/k: putref 1
           getNode // extracts the root label
           index p/k // jumps to the try block
           tchains rr
A1: codeC r1
...
Am: codeC rm
```

335

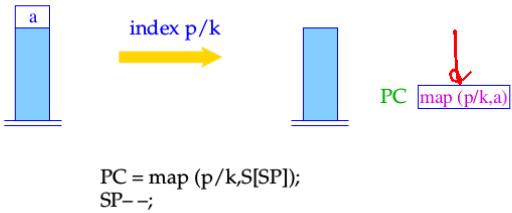
The instruction getNode returns "R" if the pointer on top of the stack points to an unbound variable. Otherwise, it returns the content of the heap object:



```
switch (H[S[SP]]) {
  case (S, f/n): S[SP] = f/n; break;
  case (A,a):   S[SP] = a; break;
  case (R,_):   S[SP] = R;
}
```

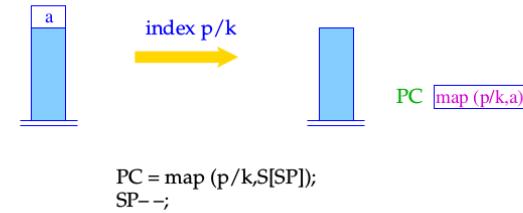
336

The instruction `index p/k` performs an indexed jump to the appropriate try chain:



337

The instruction `index p/k` performs an indexed jump to the appropriate try chain:



338

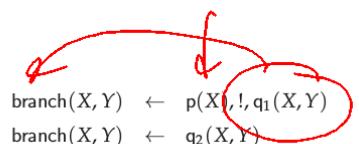
The function `map()` returns, for a given predicate and node content, the start address of the appropriate try chain.

It typically is defined through some hash table ...

## 38 Extension: The Cut Operator

Realistic Prolog additionally provides an operator "!" (cut) which explicitly allows to prune the search space of backtracking.

Example



Once the queries before the cut have succeeded, the choice is committed:

Backtracking will return only to backtrack points preceding the call to the left-hand side ...

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