

**Script** generated by TTT

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By means of  $\mathbb{I}$  we construct the complete lattice:

$$\mathbb{D}_{\mathbb{I}} = (\text{Vars} \rightarrow \mathbb{I})_{\perp}$$

**Description Relation:**

$$\rho \Delta D \quad \text{iff} \quad D \neq \perp \quad \wedge \quad \forall x \in \text{Vars} : (\rho x) \Delta (D x)$$

The **abstract evaluation** of expressions is defined analogously to constant propagation. We have:

$$(\llbracket e \rrbracket \rho) \Delta (\llbracket e \rrbracket^{\#} D) \quad \text{whenever} \quad \rho \Delta D$$

Less:

$$[l_1, u_1] <^{\#} [l_2, u_2] = \begin{cases} [1, 1] & \text{if } u_1 < l_2 \\ [0, 0] & \text{if } u_2 \leq l_1 \\ [0, 1] & \text{otherwise} \end{cases}$$

$\omega \quad \omega$   
 $x_1 < x_2$

**Example**

$$[1, 2] <^{\#} [9, 42] = [1, 1]$$

$$[0, 7] <^{\#} [0, 7] = [0, 1]$$

$$[3, 4] <^{\#} [1, 2] = [0, 0]$$

**The Effects of Edges:**

$$\llbracket ; \rrbracket^{\#} D = D$$

$$\llbracket x = e; \rrbracket^{\#} D = D \oplus \{x \mapsto \llbracket e \rrbracket^{\#} D\}$$

$$\llbracket x = M[e]; \rrbracket^{\#} D = D \oplus \{x \mapsto \top\}$$

$$\llbracket M[e_1] = e_2; \rrbracket^{\#} D = D$$

$$\llbracket \text{Pos}(e) \rrbracket^{\#} D = \begin{cases} \perp & \text{if } [0, 0] = \llbracket e \rrbracket^{\#} D \\ D & \text{otherwise} \end{cases}$$

$$\llbracket \text{Neg}(e) \rrbracket^{\#} D = \begin{cases} D & \text{if } [0, 0] \sqsubseteq \llbracket e \rrbracket^{\#} D \\ \perp & \text{otherwise} \end{cases}$$

... given that  $D \neq \perp$ .

### Better Exploitation of Conditions (cont.)

$$[[\text{Neg}(e)]^\sharp D = \begin{cases} \perp & \text{if } [0, 0] \not\subseteq [[e]^\sharp D \\ D_1 & \text{otherwise} \end{cases}$$

$$x = y$$

where :

$$D_1 = \begin{cases} D \oplus \{x \mapsto (Dx) \cap ([[e_1]^\sharp D)\} & \text{if } e \equiv x \neq e_1 \\ D \oplus \{x \mapsto (Dx) \cap [-\infty, u]\} & \text{if } e \equiv x > e_1, [e_1]^\sharp D = [l, u] \\ D \oplus \{x \mapsto (Dx) \cap [l, \infty]\} & \text{if } e \equiv x < e_1, [e_1]^\sharp D = [l, \_ ] \end{cases}$$

### Better Exploitation of Conditions

$$[[\text{Pos}(e)]^\sharp D = \begin{cases} \perp & \text{if } [0, 0] = [e]^\sharp D \\ D_1 & \text{otherwise} \end{cases}$$

$$[0, 5]$$

where :

$$D_1 = \begin{cases} D \oplus \{x \mapsto (Dx) \cap ([[e_1]^\sharp D)\} & \text{if } e \equiv x = e_1 \\ D \oplus \{x \mapsto (Dx) \cap [-\infty, u]\} & \text{if } e \equiv x \leq e_1, [e_1]^\sharp D = [l, u] \\ D \oplus \{x \mapsto (Dx) \cap [l, \infty]\} & \text{if } e \equiv x \geq e_1, [e_1]^\sharp D = [l, \_ ] \end{cases}$$

$$\begin{aligned} D_x \mapsto D_x \cap D_y & \\ D_y \mapsto D_x \cap D_y & \end{aligned} \quad x = y$$

### Better Exploitation of Conditions (cont.)

$$[[\text{Neg}(e)]^\sharp D = \begin{cases} \perp & \text{if } [0, 0] \not\subseteq [[e]^\sharp D \\ D_1 & \text{otherwise} \end{cases}$$

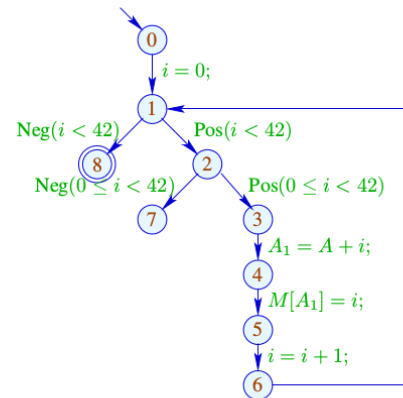
$$[0, 5]$$

where :

$$D_1 = \begin{cases} D \oplus \{x \mapsto (Dx) \cap ([[e_1]^\sharp D)\} & \text{if } e \equiv x \neq e_1 \\ D \oplus \{x \mapsto (Dx) \cap [-\infty, u]\} & \text{if } e \equiv x > e_1, [e_1]^\sharp D = [l, u] \\ D \oplus \{x \mapsto (Dx) \cap [l, \infty]\} & \text{if } e \equiv x < e_1, [e_1]^\sharp D = [l, \_ ] \end{cases}$$

$$\begin{aligned} D_x \mapsto D_x \cap D_y & \\ D_y \mapsto D_x \cap D_y & \end{aligned} \quad x = y$$

### Example



	i	
	l	u
0	$-\infty$	$+\infty$
1	0	42
2	0	41
3	0	41
4	0	41
5	0	41
6	1	42
7		$\perp$
8	42	42

$[0, 42]$   
 $[1, 42]$

$$[0, 42] \cap [42, \infty] = [42, 42]$$

## Problem

- The solution can be computed with RR-iteration — after about 42 rounds !
- On some programs, iteration may **never** terminate ...

## Idea 1 Widening

- Accelerate the iteration — at the **prize of imprecision**.
- Allow only a bounded number of modifications of values !!!  
... in the Example
- dis-allow updates of interval bounds in  $\mathbb{Z}$  ...  
⇒ a maximal chain:

$$[3, 17] \sqsubseteq [3, +\infty] \sqsubseteq [-\infty, +\infty]$$

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- (c) The sequence  $G^k \perp$ ,  $k \geq 0$ , is an ascending chain:

$$\perp \sqsubseteq G \perp \sqsubseteq \dots \sqsubseteq G^k \perp \sqsubseteq \dots$$

- (d) If  $G^k \perp = G^{k+1} \perp = y$ , then  $y$  is a solution of (1).
- (e) If  $\mathbb{D}$  has infinite strictly ascending chains, then (d) is not yet sufficient ...

**but:** we could consider the modified system of equations:

$$x_i = x_i \sqcup f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (3)$$

for a binary operation **widening**:

$$\sqcup : \mathbb{D}^2 \rightarrow \mathbb{D} \quad \text{with} \quad v_1 \sqcup v_2 \sqsubseteq v_1 \sqcup v_2$$

(RR)-iteration for (3) still will compute a solution of (1).

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## Formalization of the Approach

$$\text{Let } x_i \sqsupseteq f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (1)$$

denote a system of constraints over  $\mathbb{D}$  where the  $f_i$  are **not necessarily** monotonic.

Nonetheless, an **accumulating** iteration can be defined. Consider the system of equations:

$$x_i = x_i \sqcup f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (2)$$

We obviously have:

- (a)  $x$  is a solution of (1) iff  $x$  is a solution of (2).
- (b) The function  $G : \mathbb{D}^n \rightarrow \mathbb{D}^n$  with  $G(x_1, \dots, x_n) = (y_1, \dots, y_n)$ ,  $y_i = x_i \sqcup f_i(x_1, \dots, x_n)$  is **increasing**, i.e.,  $x \sqsubseteq G x$  for all  $x \in \mathbb{D}^n$ .

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## ... for Interval Analysis:

- The complete lattice is:  $\mathbb{D}_I = (\text{Vars} \rightarrow \mathbb{I})_{\perp}$
- the widening  $\sqcup$  is defined by:

$$\perp \sqcup D = D \sqcup \perp = D \quad \text{and for } D_1 \neq \perp \neq D_2:$$

$$\begin{aligned} (D_1 \sqcup D_2) x &= (D_1 x) \sqcup (D_2 x) \quad \text{where} \\ [l_1, u_1] \sqcup [l_2, u_2] &= [l, u] \quad \text{with} \\ l &= \begin{cases} l_1 & \text{if } l_1 \leq l_2 \\ -\infty & \text{otherwise} \end{cases} \\ u &= \begin{cases} u_1 & \text{if } u_1 \geq u_2 \\ +\infty & \text{otherwise} \end{cases} \end{aligned}$$

⇒  $\sqcup$  is **not commutative** !!!

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## Example

$$\begin{aligned} [0, 2] \sqcup [1, 2] &= [0, 2] \\ [1, 2] \sqcup [0, 2] &= [-\infty, 2] \\ [1, 5] \sqcup [3, 7] &= [1, +\infty] \end{aligned}$$

- Widening returns larger values **more quickly**.
- It should be constructed in such a way that termination of iteration is guaranteed.
- For interval analysis, widening bounds the number of iterations by:

$$\#points \cdot (1 + 2 \cdot \#Vars)$$

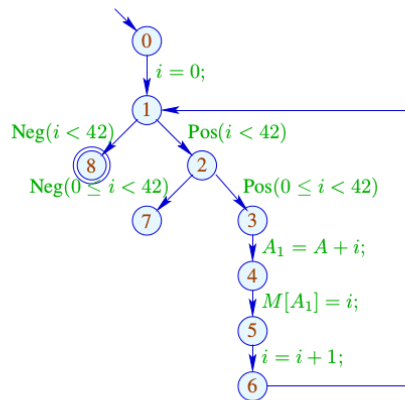
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## Conclusion

- In order to determine a solution of (1) over a complete lattice with infinite ascending chains, we define a suitable widening and then solve (3).
- **Caveat** The construction of suitable widenings is a **dark art** !!!  
Often  $\sqcup$  is chosen **dynamically** during iteration such that
  - the abstract values do not get too **complicated**;
  - the number of updates remains bounded ...

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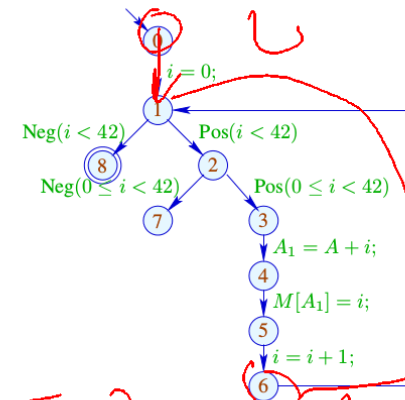
## Our Example



	1	
	<i>l</i>	<i>u</i>
0	$-\infty$	$+\infty$
1	0	0
2	0	0
3	0	0
4	0	0
5	0	0
6	1	1
7	$\perp$	
8	$\perp$	

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## Our Example



	1		2		3	
	<i>l</i>	<i>u</i>	<i>l</i>	<i>u</i>	<i>l</i>	<i>u</i>
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	$+\infty$		
2	0	0	0	$+\infty$		
3	0	0	0	$+\infty$		
4	0	0	0	$+\infty$	ditto	
5	0	0	0	$+\infty$		
6	1	1	1	$+\infty$		
7	$\perp$		42	$+\infty$		
8	$\perp$		42	$+\infty$		

$[0,0] \sqcup ([0,7] \sqcup [0,+\infty])$

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... obviously, the result is disappointing !

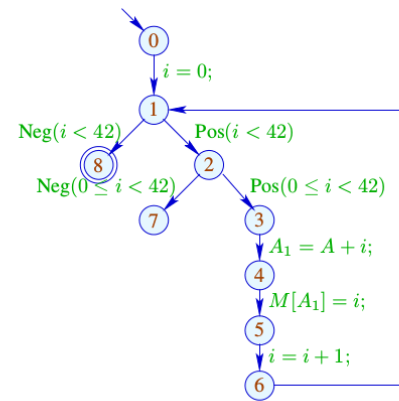
### Idea 2

In fact, acceleration with  $\sqcup$  need only be applied at sufficiently many places!

A set  $I$  is a **loop separator**, if every loop contains at least one point from  $I$ .

If we apply widening only at program points from such a set  $I$ , then RR-iteration still terminates !!!

### Our Example



	1		2		3	
	<i>l</i>	<i>u</i>	<i>l</i>	<i>u</i>	<i>l</i>	<i>u</i>
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	$+\infty$		
2	0	0	0	$+\infty$		
3	0	0	0	$+\infty$		
4	0	0	0	$+\infty$	ditto	
5	0	0	0	$+\infty$		
6	1	1	1	$+\infty$		
7	$\perp$		42	$+\infty$		
8	$\perp$		42	$+\infty$		

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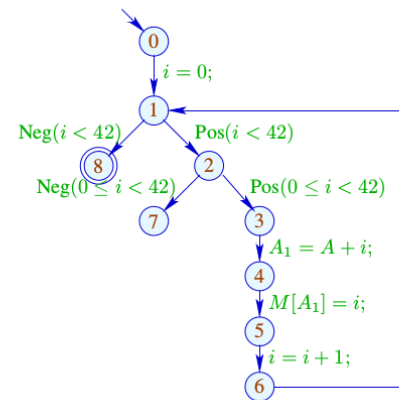
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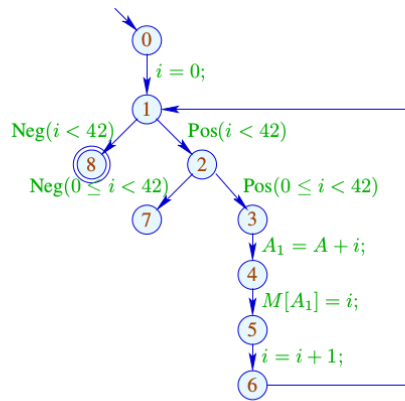
If we apply widening only at program points from such a set  $I$ , then RR-iteration still terminates !!!

### In our Example



$I_1 = \{1\}$  or:  
 $I_2 = \{2\}$  or:  
 $I_3 = \{3\}$

The Analysis with  $I = \{1\}$  :

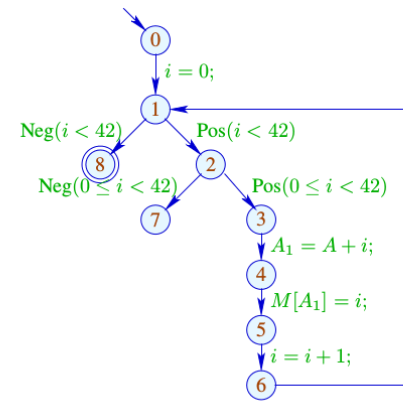


	1		2		3	
	$l$	$u$	$l$	$u$	$l$	$u$
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	$+\infty$		
2	0	0	0	41		
3	0	0	0	41		
4	0	0	0	41	ditto	
5	0	0	0	41		
6	1	1	1	42		
7	$\perp$		$\perp$			
8	$\perp$		42	$+\infty$		

$$[0, +\infty) \cap [42, \infty) = [42, \infty)$$

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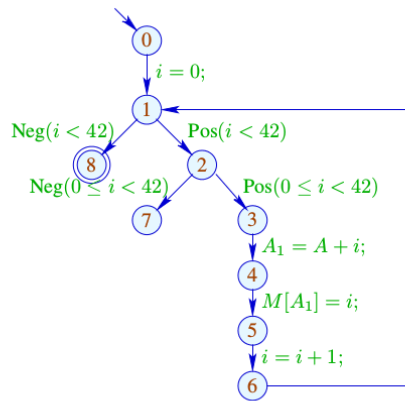
The Analysis with  $I = \{2\}$  :



	1		2		3		4	
	$l$	$u$	$l$	$u$	$l$	$u$		
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	1	0	42		
2	0	0	0	$+\infty$	0	$+\infty$		
3	0	0	0	41	0	41		
4	0	0	0	41	0	41	ditto	
5	0	0	0	41	0	41		
6	1	1	1	42	1	42		
7	$\perp$		42	$+\infty$	42	$+\infty$		
8	$\perp$		$\perp$		42	42		

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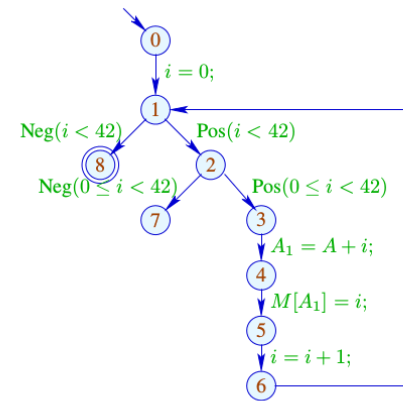
The Analysis with  $I = \{1\}$  :



	1		2		3	
	$l$	$u$	$l$	$u$	$l$	$u$
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	$+\infty$		
2	0	0	0	41		
3	0	0	0	41		
4	0	0	0	41	ditto	
5	0	0	0	41		
6	1	1	1	42		
7	$\perp$		$\perp$			
8	$\perp$		42	$+\infty$		

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The Analysis with  $I = \{2\}$  :



	1		2		3		4	
	$l$	$u$	$l$	$u$	$l$	$u$		
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$	$-\infty$	$+\infty$		
1	0	0	0	1	0	42		
2	0	0	0	$+\infty$	0	$+\infty$		
3	0	0	0	41	0	41		
4	0	0	0	41	0	41	ditto	
5	0	0	0	41	0	41		
6	1	1	1	42	1	42		
7	$\perp$		42	$+\infty$	42	$+\infty$		
8	$\perp$		$\perp$		42	42		

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## Discussion

- Both runs of the analysis determine interesting information.
- The run with  $I = \{2\}$  proves that always  $i = 42$  after leaving the loop.
- Only the run with  $I = \{1\}$  finds, however, that the outer check makes the inner check superfluous !

How can we find a suitable loop separator  $I$  ???

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## Idea 3: Narrowing

Let  $\underline{x}$  denote any solution of (1), i.e.,

$$x_i \sqsupseteq f_i \underline{x}, \quad i = 1, \dots, n$$

Then for monotonic  $f_i$ ,

$$\underline{x} \sqsupseteq F \underline{x} \sqsupseteq F^2 \underline{x} \sqsupseteq \dots \sqsupseteq F^k \underline{x} \sqsupseteq \dots$$

// Narrowing Iteration

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Let  $\underline{x}$  denote any solution of (1), i.e.,

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Then for monotonic  $f_i$ ,

$$\underline{x} \sqsupseteq F \underline{x} \sqsupseteq F^2 \underline{x} \sqsupseteq \dots \sqsupseteq F^k \underline{x} \sqsupseteq \dots$$

// Narrowing Iteration

Every tuple  $F^k \underline{x}$  is a solution of (1).

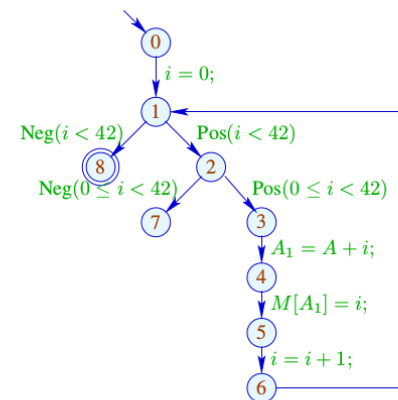
⇒

Termination is no problem anymore:  
we stop whenever we want !

// The same also holds for RR-iteration.

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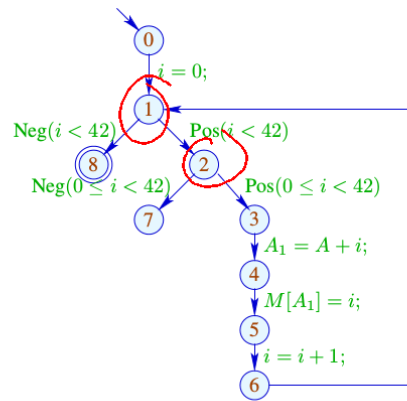
## Narrowing Iteration in the Example



0		
	$l$	$u$
0	$-\infty$	$+\infty$
1	0	$+\infty$
2	0	$+\infty$
3	0	$+\infty$
4	0	$+\infty$
5	0	$+\infty$
6	1	$+\infty$
7	42	$+\infty$
8	42	$+\infty$

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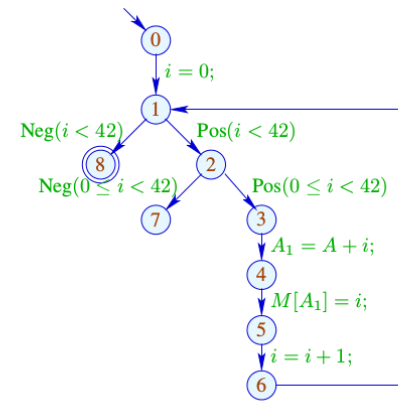
### Narrowing Iteration in the Example



	0		1	
	l	u	l	u
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$
1	0	$+\infty$	0	$+\infty$
2	0	$+\infty$	0	41
3	0	$+\infty$	0	41
4	0	$+\infty$	0	41
5	0	$+\infty$	0	41
6	1	$+\infty$	1	42
7	42	$+\infty$	$\perp$	
8	42	$+\infty$	42	$+\infty$

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### Narrowing Iteration in the Example



	0		1		2	
	l	u	l	u	l	u
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$	$-\infty$	$+\infty$
1	0	$+\infty$	0	$+\infty$	0	42
2	0	$+\infty$	0	41	0	41
3	0	$+\infty$	0	41	0	41
4	0	$+\infty$	0	41	0	41
5	0	$+\infty$	0	41	0	41
6	1	$+\infty$	1	42	1	42
7	42	$+\infty$	$\perp$		$\perp$	
8	42	$+\infty$	42	$+\infty$	42	42

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### Discussion

- We start with a safe approximation.
- We find that the inner check is redundant.
- We find that at exit from the loop, always  $i = 42$ .
- It was not necessary to construct an optimal loop separator !

### Final Question

Do we have to accept that narrowing may not terminate ???

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### 4. Idea: Accelerated Narrowing

Assume that we have a solution  $\underline{x} = (x_1, \dots, x_n)$  of the system of constraints:

$$x_i \sqsupseteq f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (1)$$

Then consider the system of equations:

$$x_i = x_i \sqcap f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (4)$$

Obviously, we have for monotonic  $f_i$ :  $H^k \underline{x} = F^k \underline{x}$ .

where  $H(x_1, \dots, x_n) = (y_1, \dots, y_n)$ ,  $y_i = x_i \sqcap f_i(x_1, \dots, x_n)$ .

In (4), we replace  $\sqcap$  by the novel operator  $\sqcap$

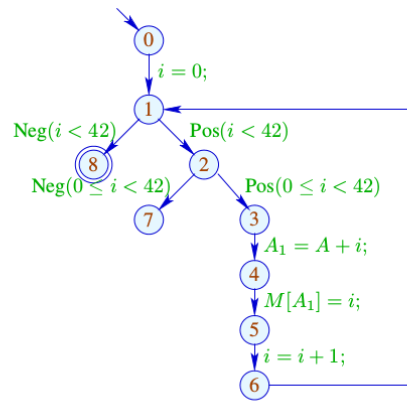
where:

$$a_1 \sqcap a_2 \sqsubseteq a_1 \sqcap a_2 \sqsubseteq a_1$$

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### Accelerated Narrowing in the Example



	0		1		2	
	l	u	l	u	l	u
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$	$-\infty$	$+\infty$
1	0	$+\infty$	0	$+\infty$	0	42
2	0	$+\infty$	0	41	0	41
3	0	$+\infty$	0	41	0	41
4	0	$+\infty$	0	41	0	41
5	0	$+\infty$	0	41	0	41
6	1	$+\infty$	1	42	1	42
7	42	$+\infty$	$\perp$		$\perp$	
8	42	$+\infty$	42	$+\infty$	42	42

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$$[1, \infty] \sqcap [2, 20] = [1, 20] \supseteq [2, 20]$$

### ... for Interval Analysis

We preserve finite interval bounds.

Therefore,  $\perp \sqcap D = D \sqcap \perp = \perp$  and for  $D_1 \neq \perp \neq D_2$ :

$$(D_1 \sqcap D_2)x = (D_1x) \sqcap (D_2x) \quad \text{where}$$

$$[l_1, u_1] \sqcap [l_2, u_2] = [l, u] \quad \text{with}$$

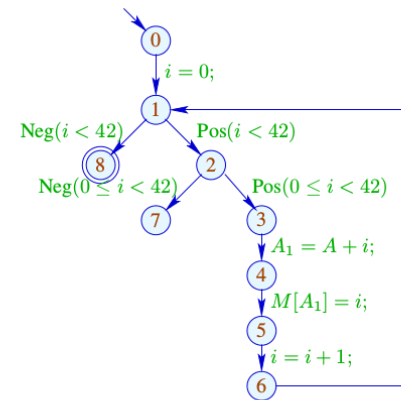
$$l = \begin{cases} l_2 & \text{if } l_1 = -\infty \\ l_1 & \text{otherwise} \end{cases}$$

$$u = \begin{cases} u_2 & \text{if } u_1 = \infty \\ u_1 & \text{otherwise} \end{cases}$$

$\implies \sqcap$  is not commutative !!!

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### Accelerated Narrowing in the Example



	0		1		2	
	l	u	l	u	l	u
0	$-\infty$	$+\infty$	$-\infty$	$+\infty$	$-\infty$	$+\infty$
1	0	$+\infty$	0	$+\infty$	0	42
2	0	$+\infty$	0	41	0	41
3	0	$+\infty$	0	41	0	41
4	0	$+\infty$	0	41	0	41
5	0	$+\infty$	0	41	0	41
6	1	$+\infty$	1	42	1	42
7	42	$+\infty$	$\perp$		$\perp$	
8	42	$+\infty$	42	$+\infty$	42	42

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## Discussion

- **Caveat:** Widening also returns for non-monotonic  $f_i$  a solution. Narrowing is only applicable to monotonic  $f_i$  !!
- In the example, accelerated narrowing already returns the optimal result.
- If the operator  $\sqcap$  only allows for finitely many improvements of values, we may execute narrowing until stabilization.
- In case of interval analysis these are at most:

$$\#points \cdot (1 + 2 \cdot \#Vars)$$

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## 1.6 Pointer Analysis

### Questions

- Are two addresses possibly equal?
- Are two addresses definitively equal?

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$i < h$   
 $h - i$

## Discussion

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- Are two addresses **possibly** equal?
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