

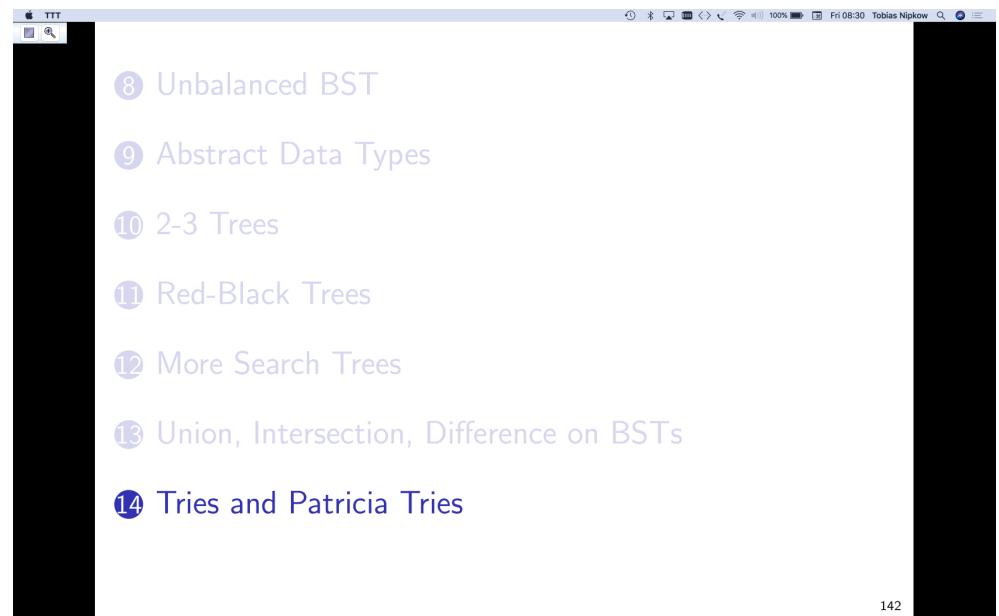
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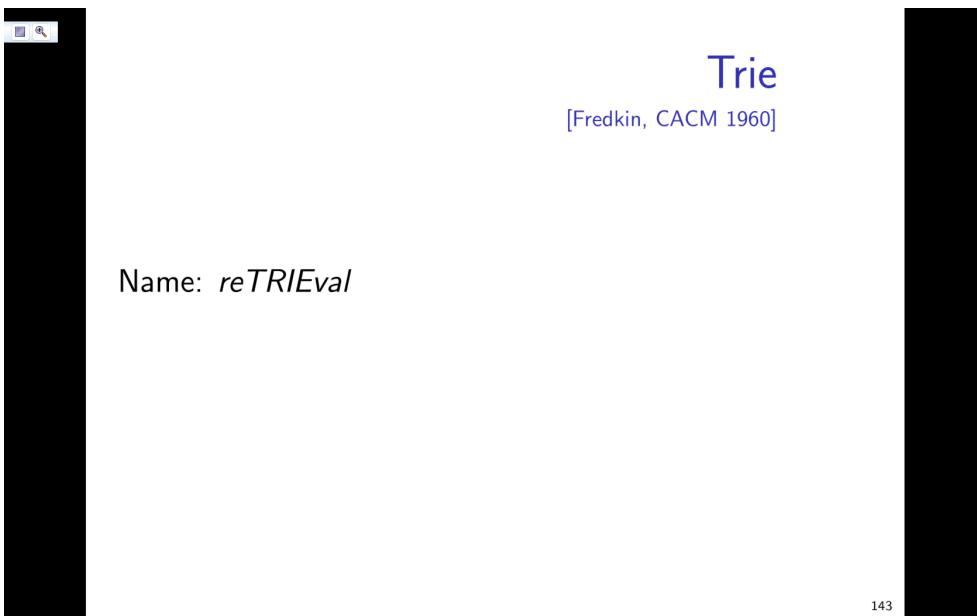


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## Trie

[Fredkin, CACM 1960]

Name: *reTRIEval*



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## Trie

[Fredkin, CACM 1960]

Name: *reTRIEval*

- Tries are search trees indexed by lists



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## Trie

[Fredkin, CACM 1960]

Name: *reTRIEval*

- Tries are search trees indexed by lists
- Tries are tree-shaped DFAs

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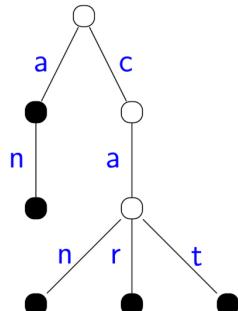
## Example Trie

{ a, an, can, car, cat }

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## Example Trie

{ a, an, can, car, cat }



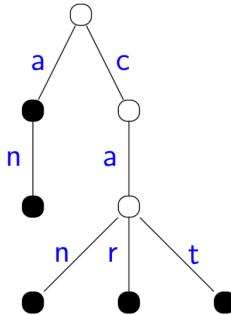
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⑯ Tries and Patricia Tries  
Tries via Functions  
Binary Tries and Patricia Tries

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## Example Trie

{ a, an, can, car, cat }



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## Trie

**datatype** '*a* trie = Nd bool ('*a* ⇒ '*a* trie option)

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## Trie

**datatype** '*a* trie = Nd bool ('*a* ⇒ '*a* trie option)

Function update notation:

$f(a := b) = (\lambda x. \text{if } x = a \text{ then } b \text{ else } f x)$

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Function update notation:

$f(a := b) = (\lambda x. \text{if } x = a \text{ then } b \text{ else } f x)$

$f(a \mapsto b) = f(a := \text{Some } b)$

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Next: Implementation of ADT Set

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*empty* =

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*empty*

*empty* =

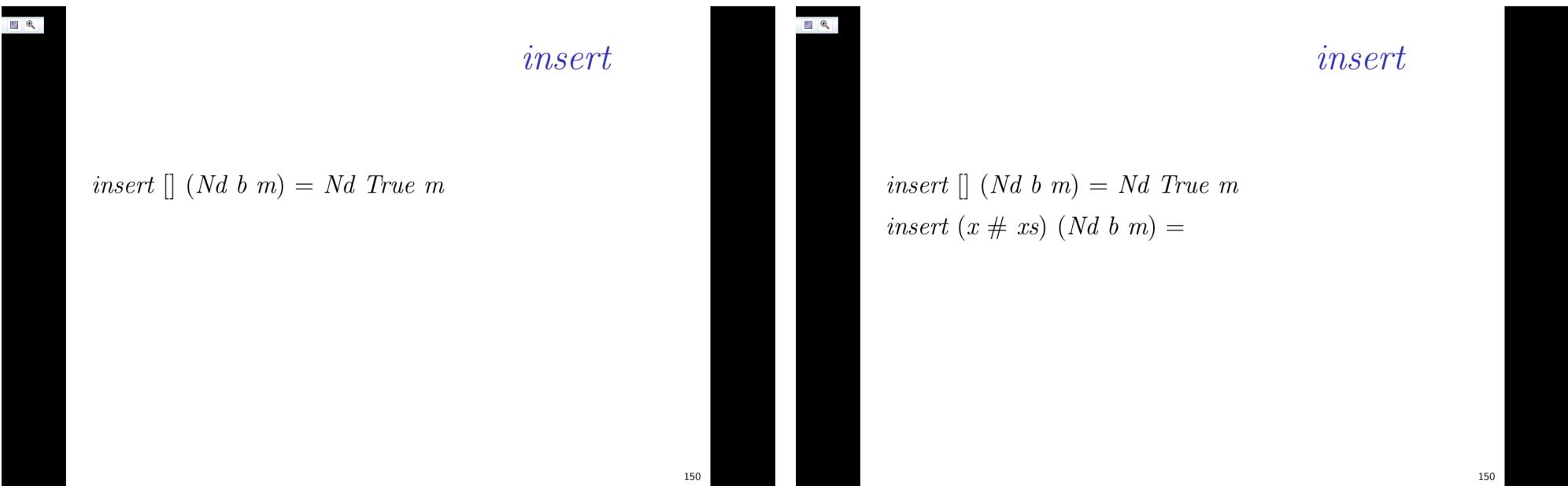
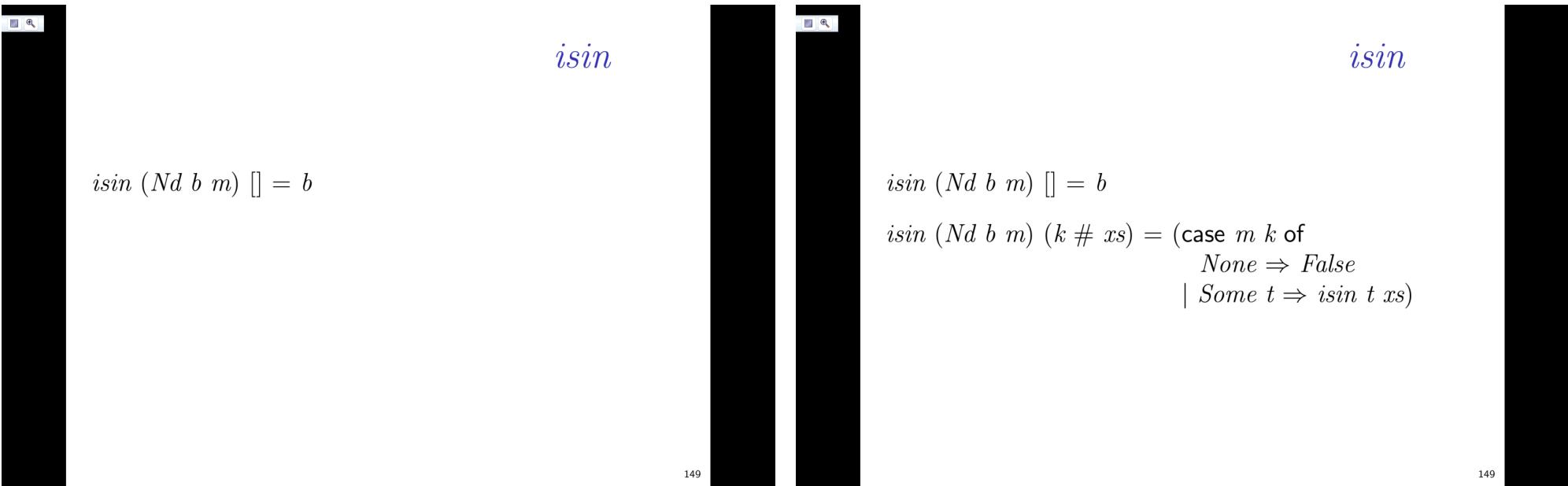
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*empty* = Nd False ( $\lambda \_. \text{None}$ )

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*empty*







insert

```
insert [] (Nd b m) = Nd True m  
insert (x # xs) (Nd b m) =  
Nd b (m(x ↦ insert xs (case m x of  
    None ⇒ empty  
    | Some t ⇒ t)))
```



delete

```
delete [] (Nd b m) = Nd False m
```

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delete

```
delete [] (Nd b m) = Nd False m  
delete (x # xs) (Nd b m) =  
Nd b (case m x of  
    None ⇒ m  
    | Some t ⇒ m(x ↦ delete xs t))
```



delete

```
delete [] (Nd b m) = Nd False m  
delete (x # xs) (Nd b m) =  
Nd b (case m x of  
    None ⇒ m  
    | Some t ⇒ m(x ↦ delete xs t))
```

Does not shrink trie

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## Correctness: Abstraction function

*set :: 'a trie  $\Rightarrow$  'a list set*

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## Correctness theorems

- $\text{set empty} = \{\}$
- $\text{isin } t xs = (xs \in \text{set } t)$
- $\text{set } (\text{insert } xs \ t) = \text{set } t \cup \{xs\}$
- $\text{set } (\text{delete } xs \ t) = \text{set } t - \{xs\}$

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## Correctness theorems

- $\text{set empty} = \{\}$
- $\text{isin } t xs = (xs \in \text{set } t)$
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No lemmas required

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## 14 Tries and Patricia Tries

Tries via Functions  
Binary Tries and Patricia Tries

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## Correctness theorems

- $\text{set } \text{empty} = \{\}$
- $\text{isin } t \text{ xs} = (\text{xs} \in \text{set } t)$
- $\text{set } (\text{insert } \text{xs} \text{ } t) = \text{set } t \cup \{\text{xs}\}$
- $\text{set } (\text{delete } \text{xs} \text{ } t) = \text{set } t - \{\text{xs}\}$

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## Trie

**datatype**  $'a \text{ trie} = \text{Lf} \mid \text{Nd bool } ('a \Rightarrow 'a \text{ trie option})$

Function update notation:

$f(a := b) = (\lambda x. \text{if } x = a \text{ then } b \text{ else } f x)$

$f(a \mapsto b) = f(a := \text{Some } b)$

Next: Implementation of ADT Set

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## Trie

**datatype**  $\text{trie} = \text{Lf} \mid \text{Nd bool } (\text{trie} \times \text{trie})$

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## Trie

**datatype**  $\text{trie} = \text{Lf} \mid \text{Nd bool } (\text{trie} \times \text{trie})$

Auxiliary functions on pairs:

$\text{sel2} :: \text{bool} \Rightarrow 'a \times 'a \Rightarrow 'a$

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## Trie

**datatype**  $trie = Lf \mid Nd\ bool\ (trie \times trie)$

Auxiliary functions on pairs:

$sel2 :: bool \Rightarrow 'a \times 'a \Rightarrow 'a$

$sel2\ b\ (a_1,\ a_2) = (\text{if } b \text{ then } a_2 \text{ else } a_1)$

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## Trie

**datatype**  $trie = Lf \mid Nd\ bool\ (trie \times trie)$

Auxiliary functions on pairs:

$sel2 :: bool \Rightarrow 'a \times 'a \Rightarrow 'a$

$sel2\ b\ (a_1,\ a_2) = (\text{if } b \text{ then } a_2 \text{ else } a_1)$

$mod2 :: ('a \Rightarrow 'a) \Rightarrow bool \Rightarrow 'a \times 'a \Rightarrow 'a \times 'a$

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## Trie

**datatype**  $trie = Lf \mid Nd\ bool\ (trie \times trie)$

Auxiliary functions on pairs:

$sel2 :: bool \Rightarrow 'a \times 'a \Rightarrow 'a$

$sel2\ b\ (a_1,\ a_2) = (\text{if } b \text{ then } a_2 \text{ else } a_1)$

$mod2 :: ('a \Rightarrow 'a) \Rightarrow bool \Rightarrow 'a \times 'a \Rightarrow 'a \times 'a$

$mod2\ f\ b\ (a_1,\ a_2) = (\text{if } b \text{ then } (a_1,\ f\ a_2) \text{ else } (f\ a_1,\ a_2))$

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## empty

$empty = Lf$

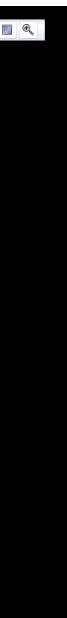
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*isin*

*isin Lf ks = False*

*isin (Nd b lr) ks = (case ks of*  
   $[] \Rightarrow b$   
   $| k \# x \Rightarrow \text{isin} (\text{sel2 } k \text{ lr}) x$



*insert*

*insert [] Lf = Nd True (Lf, Lf)*

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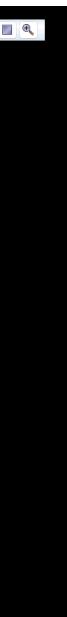


*insert*

*insert [] Lf = Nd True (Lf, Lf)*

*insert [] (Nd b lr) = Nd True lr*

*insert (k # ks) Lf =*  
*Nd False (mod2 (insert ks) k (Lf, Lf))*



*insert*

*insert [] Lf = Nd True (Lf, Lf)*

*insert [] (Nd b lr) = Nd True lr*

*insert (k # ks) Lf =*  
*Nd False (mod2 (insert ks) k (Lf, Lf))*

*insert (k # ks) (Nd b lr) =*  
*Nd b (mod2 (insert ks) k lr)*

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*delete*

*delete ks Lf = Lf*

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Correctness of implementation

Abstraction function:

*set\_trie t = {xs. isin t xs}*

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Correctness of implementation

Abstraction function:

*set\_trie t = {xs. isin t xs}*

- *isin (insert xs t) ys = (xs = ys ∨ isin t ys)*

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Correctness of implementation

Abstraction function:

*set\_trie t = {xs. isin t xs}*

- *isin (insert xs t) ys = (xs = ys ∨ isin t ys)*  
⇒ *set\_trie (insert xs t) = set\_trie t ∪ {xs}*

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## Correctness of implementation

Abstraction function:

$$\text{set\_trie } t = \{xs. \text{isin } t \ xs\}$$

- $\text{isin} (\text{insert } xs \ t) \ ys = (xs = ys \vee \text{isin } t \ ys)$   
 $\implies \text{set\_trie} (\text{insert } xs \ t) = \text{set\_trie } t \cup \{xs\}$
- $\text{isin} (\text{delete } xs \ t) \ ys = (xs \neq ys \wedge \text{isin } t \ ys)$   
 $\implies \text{set\_trie} (\text{delete } xs \ t) = \text{set\_trie } t - \{xs\}$

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## Abstraction function via *isin*

$$\text{set\_trie } t = \{xs. \text{isin } t \ xs\}$$

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## Abstraction function via *isin*

$$\text{set\_trie } t = \{xs. \text{isin } t \ xs\}$$

- Trivial definition

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## Abstraction function via *isin*

$$\text{set\_trie } t = \{xs. \text{isin } t \ xs\}$$

- Trivial definition
- Reusing code (*isin*) may complicate proofs.

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## Abstraction function via *isin*

*set\_trie t = {xs. isin t xs}*

- Trivial definition
- Reusing code (*isin*) may complicate proofs.
- Separate abstract mathematical definition can simplify proofs (see tries with functions)

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## Abstraction function via *isin*

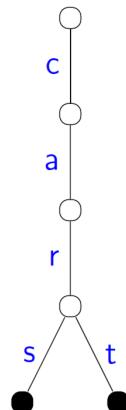
*set\_trie t = {xs. isin t xs}*

- Trivial definition
- Reusing code (*isin*) may complicate proofs.
- Separate abstract mathematical definition can simplify proofs (see tries with functions)

Also possible for some other ADTs, e.g. for Map:  
*lookup :: 't ⇒ ('a ⇒ 'b option)*

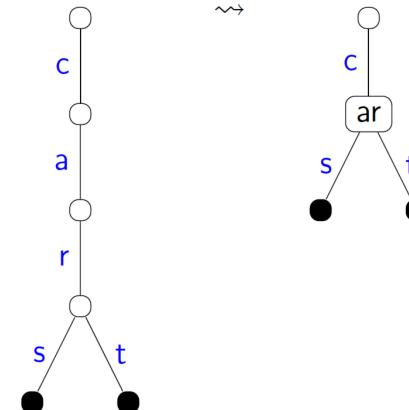
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## From tries to Patricia tries



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## From tries to Patricia tries



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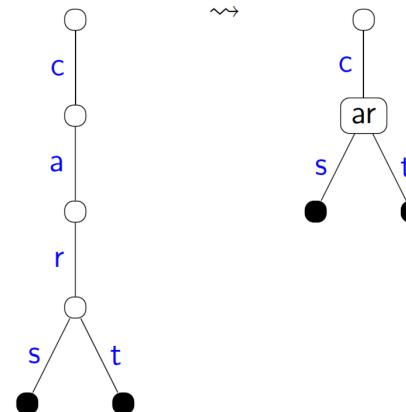


## Patricia trie

```
datatype trieP = LfP
  | NdP (bool list) bool (trieP × trieP)
```

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## From tries to Patricia tries



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## *isinP*

```
isinP LfP ks = False
isinP (NdP ps b lr) ks =
(let n = length ps
  in if ps = take n ks
    then case drop n ks of
      [] => b
      | k # ks' => isinP (sel2 k lr) ks'
    else False)
```

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## Splitting lists

```
split xs ys = (zs, xs', ys')
```

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*insertP*

$insertP\ ks\ LfP = NdP\ ks\ True\ (LfP,\ LfP)$

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*insertP*

```
insertP\ ks\ LfP = NdP\ ks\ True\ (LfP,\ LfP)
insertP\ ks\ (NdP\ ps\ b\ lr) =
(case\ split\ ks\ ps\ of
  (qs,\ [],\ []) \Rightarrow NdP\ ps\ True\ lr
  | (qs,\ [],\ p\ #\ ps') \Rightarrow
    let\ t = NdP\ ps'\ b\ lr
    in\ NdP\ qs\ True\ (if\ p\ then\ (LfP,\ t)\ else\ (t,\ LfP))
  | (qs,\ k\ #\ ks',\ []) \Rightarrow NdP\ ps\ b\ (mod2\ (insertP\ ks')\ k\ lr)
  | (qs,\ k\ #\ ks',\ p\ #\ ps') \Rightarrow
    let\ tp = NdP\ ps'\ b\ lr;\ tk = NdP\ ks'\ True\ (LfP,\ LfP)
    in\ NdP\ qs\ False\ (if\ k\ then\ (tp,\ tk)\ else\ (tk,\ tp)))
```

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*deleteP*

$deleteP\ ks\ LfP = LfP$

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*deleteP*

```
deleteP\ ks\ LfP = LfP
deleteP\ ks\ (NdP\ ps\ b\ lr) =
```

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*deleteP*

*deleteP ks LfP = LfP*  
*deleteP ks (NdP ps b lr) =*

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*deleteP*

*deleteP ks LfP = LfP*  
*deleteP ks (NdP ps b lr) =*  
*(case split ks ps of*  
*(qs, ks', p#ps') => NdP ps b lr |*  
*(qs, k#ks', []) =>*  
*nodeP ps b (mod2 (deleteP ks') k lr) |*  
*(qs, [], []) => nodeP ps False lr)*

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**Stepwise data refinement**

*View trieP as an implementation ("refinement") of trie*

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**Stepwise data refinement**

*View trieP as an implementation ("refinement") of trie*

Type      Abstraction function

*bool list set*  
  ↑      *set\_trie*  
*trie*  
  ↑      *abs\_trieP*  
*trieP*

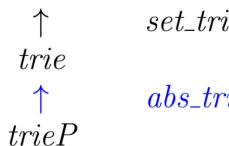
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## Stepwise data refinement

View  $trieP$  as an implementation ("refinement") of  $trie$

Type      Abstraction function

$bool\ list\ set$



$\implies$  Modular correctness proof of  $trieP$

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$abs\_trieP :: trieP \Rightarrow trie$

$abs\_trieP\ LfP = Lf$

$abs\_trieP\ (NdP\ ps\ b\ (l,\ r)) =$   
 $prefix\_trie\ ps\ (Nd\ b\ (abs\_trieP\ l,\ abs\_trieP\ r))$

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$abs\_trieP :: trieP \Rightarrow trie$

$abs\_trieP\ LfP = Lf$

$abs\_trieP\ (NdP\ ps\ b\ (l,\ r)) =$   
 $prefix\_trie\ ps\ (Nd\ b\ (abs\_trieP\ l,\ abs\_trieP\ r))$

$prefix\_trie :: bool\ list \Rightarrow trie \Rightarrow trie$

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$Correctness\ of\ trieP\ w.r.t.\ trie$

- $isinP t ks = isin\ (abs\_trieP\ t)\ ks$
- $abs\_trieP\ (insertP\ ks\ t) = insert\ ks\ (abs\_trieP\ t)$
- $abs\_trieP\ (deleteP\ ks\ t) = delete\ ks\ (abs\_trieP\ t)$

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## Correctness of $\text{trieP}$ w.r.t. $\text{trie}$

- $\text{isinP } t \text{ ks} = \text{isin } (\text{abs\_trieP } t) \text{ ks}$
- $\text{abs\_trieP } (\text{insertP } \text{ks } t) = \text{insert ks } (\text{abs\_trieP } t)$
- $\text{abs\_trieP } (\text{deleteP } \text{ks } t) = \text{delete ks } (\text{abs\_trieP } t)$

```
isin (prefix_trie ps t) ks =
(ps = take (length ps) ks ∧ isin t (drop (length ps) ks))
prefix_trie ks (Nd True (Lf, Lf)) = insert ks Lf
insert ps (prefix_trie ps (Nd b lr)) = prefix_trie ps (Nd True lr)
insert (ks @ ks') (prefix_trie ks t) = prefix_trie ks (insert ks' t)
prefix_trie (ps @ qs) t = prefix_trie ps (prefix_trie qs t)
split ks ps = (qs, ks', ps') ⇒
ks = qs @ ks' ∧ ps = qs @ ps' ∧ (ks' ≠ [] ∧ ps' ≠ []) → hd ks' ≠ hd ps'
(prefix_trie xs t = Lf) = (xs = [] ∧ t = Lf)
(abs_trieP t = Lf) = (t = LfP)
delete xs (prefix_trie xs (Nd b (l, r))) =
(if (l, r) = (Lf, Lf) then Lf else prefix_trie xs (Nd False (l, r)))
delete (xs @ ys) (prefix_trie xs t) =
(if delete ys t = Lf then Lf else prefix_trie xs (delete ys t))
```

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## Correctness of $\text{trieP}$ w.r.t. $\text{bool list set}$

Define  $\text{set\_trieP} = \text{set\_trie} \circ \text{abs\_trieP}$

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## Correctness of $\text{trieP}$ w.r.t. $\text{bool list set}$

Define  $\text{set\_trieP} = \text{set\_trie} \circ \text{abs\_trieP}$

⇒ Overall correctness by trivial composition of  
correctness theorems for  $\text{trie}$  and  $\text{trieP}$

Example:

$$\text{set\_trieP } (\text{insertP } \text{xs } t) = \text{set\_trieP } t \cup \{\text{xs}\}$$

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## Correctness of $\text{trieP}$ w.r.t. $\text{bool list set}$

Define  $\text{set\_trieP} = \text{set\_trie} \circ \text{abs\_trieP}$

⇒ Overall correctness by trivial composition of  
correctness theorems for  $\text{trie}$  and  $\text{trieP}$

Example:

$$\text{set\_trieP } (\text{insertP } \text{xs } t) = \text{set\_trieP } t \cup \{\text{xs}\}$$

follows directly from

$$\begin{aligned} \text{abs\_trieP } (\text{insertP } \text{ks } t) &= \text{insert ks } (\text{abs\_trieP } t) \\ \text{set\_trie } (\text{insert xs } t) &= \text{set\_trie } t \cup \{\text{xs}\} \end{aligned}$$

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## Correctness of $\text{trieP}$ w.r.t. $\text{bool list set}$

Define  $\text{set\_trieP} = \text{set\_trie} \circ \text{abs\_trieP}$

$\implies$  Overall correctness by trivial composition of  
correctness theorems for  $\text{trie}$  and  $\text{trieP}$

Example:

$$\text{set\_trieP}(\text{insertP } xs \ t) = \text{set\_trieP} \ t \cup \{xs\}$$

follows directly from

$$\text{abs\_trieP}(\text{insertP } ks \ t) = \text{insert } ks(\text{abs\_trieP} \ t)$$

$$\text{set\_trie}(\text{insert } xs \ t) = \text{set\_trie} \ t \cup \{xs\}$$

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## Chapter 9

### Priority Queues

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