## Script generated by TTT

Title: Petter: Compilerbau (13.06.2019)

Date: Thu Jun 13 14:18:41 CEST 2019

Duration: 90:31 min

Pages: 35

# What if precedences are not enough?

In practice, LR(k)-parser generators working with the lookahead sets of sizes larger then k=1 are not common, since computing lookahead sets with k>1 blows up exponentially. However,

- there exist several practical LR(k) grammars of k > 1, e.g. Java 1.6+ (LR(2)), ANSI C, etc.
- often, more lookahead is only exhausted locally
- should we really give up, whenever we are confronted with a Shift-/Reduce-Conflict?

# What if precedences are not enough?

Example (very simplified lambda expressions):

```
\begin{array}{ccc} E & \rightarrow & (E)^{\,0}\,|\,\mathrm{ident}^1\,|\,L^2 \\ L & \rightarrow & \langle\mathrm{args}\rangle \Rightarrow E^0 \\ \langle\mathrm{args}\rangle & \rightarrow & (\langle\mathrm{idlist}\rangle\,)^0\,|\,\mathrm{ident}^1 \\ \langle\mathrm{idlist}\rangle & \rightarrow & \langle\mathrm{idlist}\rangle\,|\,\mathrm{ident}^0\,|\,\mathrm{ident}^1 \end{array}
```

*E* rightmost-derives these forms among others:

```
(\ \underline{\mathsf{ident}}\ ))\ (\ \underline{\mathsf{ident}}\ ) \Rightarrow \mathsf{ident}\ , \ \dots \quad \Rightarrow \quad \text{at least } LR(2)
```

#### Naive Idea:

poor man's LR(2) by combining the tokens ) and  $\Rightarrow$  during lexical analysis into a single token ) $\Rightarrow$ .

149/288

# What if precedences are not enough?

In practice, LR(k)-parser generators working with the lookahead sets of sizes larger then k=1 are not common, since computing lookahead sets with k>1 blows up exponentially. However,

- there exist several practical LR(k) grammars of k > 1, e.g. Java 1.6+ (LR(2)), ANSI C, etc.
- often, more lookahead is only exhausted locally
- should we really give up, whenever we are confronted with a Shift-/Reduce-Conflict?



Theorem: LR(k)-to-LR(1)

Any LR(k) grammar can be directly transformed into an equivalent LR(1) grammar.

# LR(2) to LR(1)

## ... Example:

$$S \rightarrow A \bigcirc b^0 \mid B \bigcirc c^1$$
  
 $A \rightarrow a A^0 \mid a^1 \mid b$   
 $B \rightarrow a B^0 \mid a^1 \mid b$ 

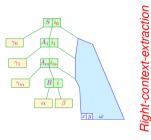
S rightmost-derives one of these forms:

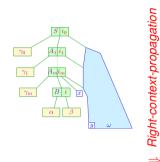
$$a^n \underline{a}bb$$
,  $a^n \underline{a}bc$ ,  $a^n \underline{a}\underline{A}bb$ ,  $a^n \underline{a}\underline{B}bc$ ,  $\underline{A}bb$ ,  $\underline{B}bc$   $\Rightarrow$   $LR(2)$ 

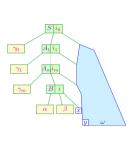
in LR(1), you will have Reduce-/Reduce-Conflicts between the productions  $A, \mathbf{1}$  and  $B, \mathbf{1}$  under lookahead b

LR(2) to LR(1)

Basic Idea:







## in the example:

Right-context is already extracted, so we only perform *Right-context-propagation*:

$$\begin{array}{ccc} S & \rightarrow & A \, b \, b^0 \, (B \, b) \, c^1 \\ A & \rightarrow & a \, A^0 \, | \, a^1 \\ B & \rightarrow & a \, B^0 \, a^1 \end{array}$$

$$S \longrightarrow \langle A b \rangle b^{0} | \langle B b \rangle c^{1}$$

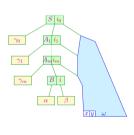
$$\langle A b \rangle \longrightarrow a \langle A b \rangle^{0} | a b^{1}$$

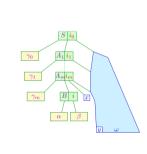
$$\langle B b \rangle \longrightarrow a \langle B b \rangle^{0} | a b^{1}$$

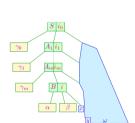
152/288

# LR(2) to LR(1)

Basic Idea:







## in the example:

Right-context is already extracted, so we only perform *Right-context-propagation*:

Right-context-extraction

$$\begin{array}{ccc} S & \to & A\,b\,b^0 \,|\, B\,b\,c^1 \\ A & \to & a\,A^0 \,|\, a^1 \\ B & \to & a\,B^0 \,|\, a^1 \end{array}$$

$$S \rightarrow \langle Ab \rangle b^{0} | \langle Bb \rangle c^{1}$$

$$\langle Ab \rangle \rightarrow a \langle Ab \rangle^{0} | ab^{1}$$

$$\langle Bb \rangle \rightarrow a \langle Bb \rangle^{0} | ab^{1}$$

Right-context-propagation

unreachable

# LR(2) to LR(1)

Example 2:

$$\begin{array}{ccc} S & \rightarrow & b \, S \, S^{\,0} \\ & | & a^{\,1} \\ & | & a \, a \, c^{\,2} \end{array}$$

 ${\cal S}$  rightmost-derives these forms among others:

 $\underline{bSS}$ ,  $\underline{bSa}$ ,  $\underline{bSaac}$ ,  $\underline{baac}$ ,  $\underline{baac}$ ,  $\underline{baaca}$ ,  $\underline{baaca}$ ,  $\underline{baacaac}$ , ...  $\Rightarrow$  min.  $\underline{LR(2)}$ 

in LR(1), you will have (at least) Shift-/Reduce-Conflicts between the items  $[S \to a \bullet, a]$  and  $[S \to a \bullet ac]$ 

 $[S \rightarrow a]$ 's right context is a nonterminal  $\Rightarrow$  perform Right-context-extraction

154/288

# LR(2) to LR(1)

## Example 2 cont'd:

 $[S \rightarrow a]$ 's right context is now terminal  $a \Rightarrow perform Right-context-propagation$ 

$$S \longrightarrow b \langle Sa \rangle \langle a/S \rangle^{0}$$

$$| bSb \langle b/S \rangle^{0'}$$

$$| a^{1} | aac^{2} \rangle^{0}$$

LR(2) to LR(1)

## Example 2 finished:

With fresh nonterminals we get the final grammar

156/288

155/288

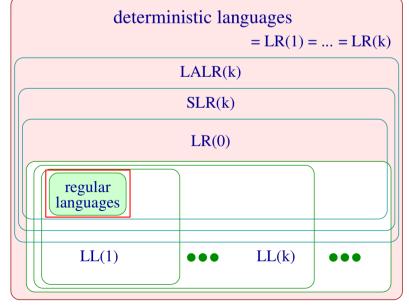
## Special LR(k)-Subclasses

## Discussion:

- ullet Our examples mostly were LR(1) or could be transformed to LR(1)
- In general, the canonical LR(k)-automaton has much more states then LR(G) = LR(G,0)
- ullet Therefore in practice, subclasses of LR(k)-grammars are often considered, which only use LR(G) ...
- For resolving conflicts, the items are assigned special lookahead-sets:
  - independently on the state itselfdependent on the state itself

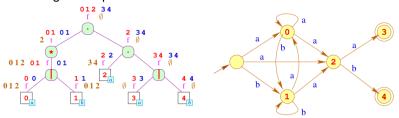
 $\implies$  Simple LR(k)  $\implies$  LALR(k)

# Parsing Methods



## Lexical and Syntactical Analysis:

#### From Regular Expressions to Finite Automata



#### From Finite Automata to Scanners

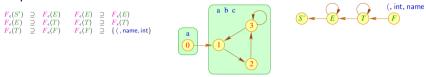


164/288

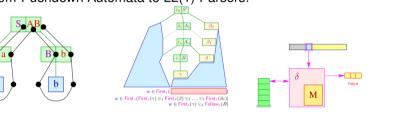
166/288

## Lexical and Syntactical Analysis:

## Computation of lookahead sets:



#### From Item-Pushdown Automata to LL(1)-Parsers:

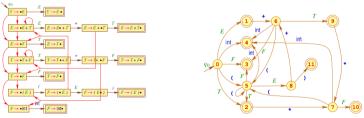


165/288

2/288

# Lexical and Syntactical Analysis:

#### From characteristic to canonical Automata:



## From Shift-Reduce-Parsers to LR(1)-Parsers:



# Organizing

- Master or Bachelor in the 6th Semester with 5 ECTS
- Prerequisites
  - Basic Programming: Java
  - Introduction to Theory of Computation
  - Basic Principles: Operating Systems and System Software
  - Automata Theory
- Delve deeper with
  - Virtual Machines
  - Programm Optimization
  - Programming Languages
  - Labcourse Compiler Construction

#### Materials:

- TTT-based lecture recordings
- The slides
- Related literature list online (⇒ Wilhelm/Seidl/Hack Compiler Design)
- Tools for visualization of abstract machines (VAM)
- Tools for generating components of Compilers (JFlex/CUP)

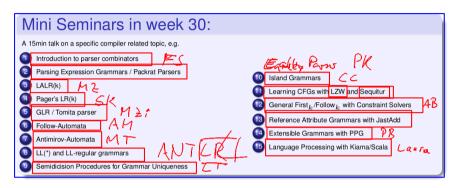
## Dates:

Lecture: Thursdays 14:15-15:45

Tutorial: Mondays 14:15-15:45, Wednesdays 8:30-10:00

#### Exam:

- One Exam in the summer, none in the winter
- planed date: 13.8.19 (no guarantee!)
- Successful mini seminar earns 0.3 bonus



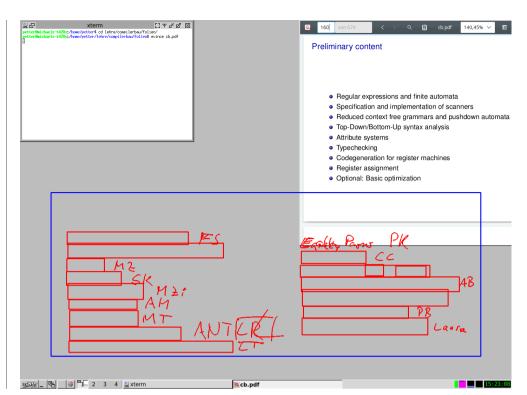
3/288

# Semantic Analysis

Scanner and parser accept programs with correct syntax.

- not all programs that are syntactically correct make sense
- the compiler may be able to *recognize* some of these
  - these programs are rejected and reported as erroneous
  - the language definition defines what erroneous means
- semantic analyses are necessary that, for instance:
  - check that identifiers are known and where they are defined
  - check the type-correct use of variables
- semantic analyses are also useful to
  - find possibilities to "optimize" the program
  - warn about possibly incorrect programs

 $\rightsquigarrow$  a semantic analysis annotates the syntax tree with attributes



Semantic Analysis

# Chapter 1: Attribute Grammars

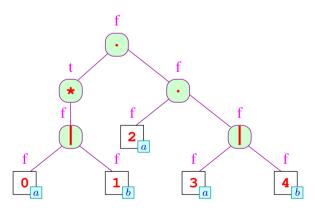
## **Attribute Grammars**

- many computations of the semantic analysis as well as the code generation operate on the syntax tree
- what is computed at a given node only depends on the *type* of that node (which is usually a non-terminal)
- we call this a *local* computation:
  - only accesses already computed information from neighbouring nodes
  - computes new information for the current node and other neighbouring nodes

170/288

# Example: Computation of the empty[r] Attribute

Consider the syntax tree of the regular expression (a|b)\*a(a|b):



 $\leadsto$  equations for  $\mathsf{empty}[r]$  are computed from bottom to top (aka bottom-up)

## **Attribute Grammars**

- many computations of the semantic analysis as well as the code generation operate on the syntax tree
- what is computed at a given node only depends on the type of that node (which is usually a non-terminal)
- we call this a *local* computation:
  - only accesses already computed information from neighbouring nodes
  - computes new information for the current node and other neighbouring nodes

## **Definition** attribute grammar

An attribute grammar is a CFG extended by

- a set of attributes for each non-terminal and terminal
- local attribute equations
- in order to be able to evaluate the attribute equations, all attributes mentioned in that equation have to be evaluated already
  - → the nodes of the syntax tree need to be visited in a certain sequence

170/288

# Implementation Strategy

- attach an attribute empty to every node of the syntax tree
- compute the attributes in a *depth-first* post-order traversal:
  - at a leaf, we can compute the value of empty without considering other nodes
  - the attribute of an inner node only depends on the attribute of its children
- the empty attribute is a synthetic attribute
- The local dependencies between the attributes are dependent on the type of the node

171/288 172/288

## Implementation Strategy

- attach an attribute empty to every node of the syntax tree
- compute the attributes in a *depth-first* post-order traversal:
  - at a leaf, we can compute the value of empty without considering other nodes
  - the attribute of an inner node only depends on the attribute of its children
- the empty attribute is a *synthetic* attribute
- The local dependencies between the attributes are dependent on the *type* of the node

in general:

#### Definition

An attribute is called

- synthetic if its value is always propagated upwards in the tree (in the direction leaf → root)
- inherited if its value is always propagated downwards in the tree (in the direction root → leaf)

172/288

## Attribute Equations for empty

In order to compute an attribute *locally*, we need to specify attribute equations for each node.

These equations depend on the *type* of the node:

173/288

## Attribute Equations for empty

In order to compute an attribute *locally*, we need to specify attribute equations for each node.

These equations depend on the *type* of the node:

# Specification of General Attribute Systems

## General Attribute Systems

In general, for establishing attribute systems we need a flexible way to *refer to parents and children*:

 $\,\,\leadsto\,$  We use consecutive indices to refer to neighbouring attributes

```
{\sf attribute_k[0]}: the attribute of the current root node {\sf attribute_k[i]}: the attribute of the i-th child (i>0)
```

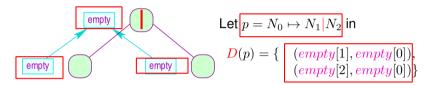
... in the example:

173/288 174/288

## **Observations**

- the *local* attribute equations need to be evaluated using a *global* algorithm that knows about the dependencies of the equations
- in order to construct this algorithm, we need
  - a sequence in which the nodes of the tree are visited
  - 2 a sequence within each node in which the equations are evaluated
- this *evaluation strategy* has to be compatible with the *dependencies* between attributes

We visualize the attribute dependencies D(p) of a production p in a *Local Dependency Graph*:



→ arrows point in the direction of information flow

175 / 288

177/288

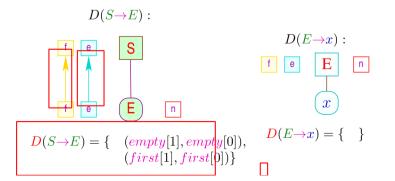
## Observations

- in order to infer an evaluation strategy, it is not enough to consider the *local* attribute dependencies at each node
- the evaluation strategy must also depend on the *global* dependencies, that is, on the information flow between nodes
- the global dependencies thus change with each new syntax tree
- in the example, the parent node is always depending on children only
  - $\sim$  a depth-first post-order traversal is possible
- in general, variable dependencies can be much *more complex*

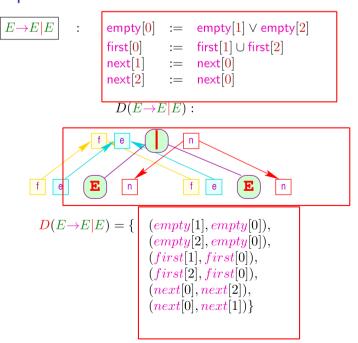
176/288

# Simultaneous Computation of Multiple Attributes

Computing empty, first, next from regular expressions:



# Regular Expressions: Rules for Alternative



# Regular Expressions: Kleene-Star and '?'

```
E \rightarrow E*
                                    empty[0]
                                                   := t
                                    first[0]
                                                   := first[1]
                                                   := first[1] \cup next[0]
                                     next[1]
              E \rightarrow E?
                                    empty[0]
                                                   := t
                                    first[0]
                                                   := first[1]
                                     next[1]
                                                   := next[0]
       D(E \rightarrow E*):
                                                     D(E \rightarrow E?):
             е
                                                          е
            е
                                                          е
                   (first[1], first[0]), \\ (first[1], next[2]),
D(E \rightarrow E*) = \{
                                              D(E \rightarrow E?) = \{ (first[1], first[0]), \}
                                                                  (next[0], next[1])
                   (next[0], next[1])
```

180/288

# Challenges for General Attribute Systems

#### Static evaluation

Is there a static evaluation strategy, which is generally applicable?

- an evaluation strategy can only exist, if for any derivation tree the dependencies between attributes are acyclic
- it is *DEXPTIME*-complete to check for cyclic dependencies [Jazayeri, Odgen, Rounds, 1975]

181/288

# Challenges for General Attribute Systems

#### Static evaluation

Is there a static evaluation strategy, which is generally applicable?

- an evaluation strategy can only exist, if for *any* derivation tree the dependencies between attributes are acyclic
- it is *DEXPTIME*-complete to check for cyclic dependencies [Jazayeri, Odgen, Rounds, 1975]

## Ideas

- Let the User specify the strategy
- Determine the strategy dynamically
- Automate <u>subclasses</u> only

# Subclass: Strongly Acyclic Attribute Dependencies

Idea: For all nonterminals X compute a set  $\mathcal{R}(X)$  of relations between its attributes, as an *overapproximation of the global dependencies* between root attributes of every production for X.

Describe  $\mathcal{R}(X)$ s as sets of relations, similar to D(p) by

- $\bullet$  setting up each production  $X\mapsto X_1\dots X_k$  's effect on the relations of  ${\mathcal R}(X)$
- compute effect on all so far accumulated evaluations of each rhs  $X_i$ 's  $\mathcal{R}(X_i)$
- iterate until stable