

Script generated by TTT

Title: Petter: Compilerbau (08.06.2017)

Date: Thu Jun 08 14:15:09 CEST 2017

Duration: 97:53 min

Pages: 26

Shift-Reduce Parser

Observation:

- The sequence of reductions corresponds to a **reverse rightmost-derivation** for the input
- To prove correctness, we have to prove:

$$(\epsilon, w) \vdash^* (A, \epsilon) \quad \text{iff} \quad A \rightarrow^* w$$

- The shift-reduce pushdown automaton M_G^R is in general also **non-deterministic**
- For a deterministic parsing-algorithm, we have to identify computation-states for reduction

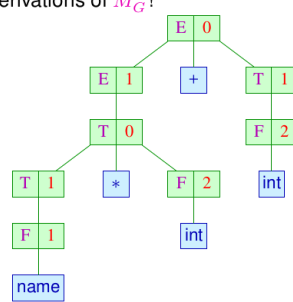
⇒ LR-Parsing

Reverse Rightmost Derivations in Shift-Reduce-Parsers

Idea: Observe **reverse rightmost-derivations** of M_G^R !

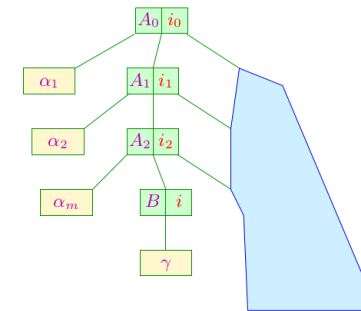
Input:
counter * 2 + 40

Pushdown:
(q_0)



Bottom-up Analysis: Viable Prefix

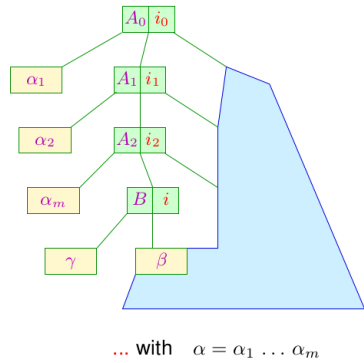
$\alpha\gamma$ is viable for $[B \rightarrow \gamma\bullet]$ iff $S \rightarrow_R^* \alpha B v$



... with $\alpha = \alpha_1 \dots \alpha_m$

Bottom-up Analysis: Admissible Items

The item $[B \rightarrow \gamma \bullet \beta]$ is called **admissible** for α' iff $(S \xrightarrow{\alpha} B \gamma)$ with $\alpha' = \alpha \gamma$:



Characteristic Automaton

Observation:

The set of viable prefixes from $(N \cup T)^*$ for (admissible) items can be computed from the content of the **shift-reduce parser's** pushdown with the help of a finite automaton:

States: Items

Start state: $[S' \rightarrow \bullet S]$

Final states: $\{[B \rightarrow \gamma \bullet] \mid B \rightarrow \gamma \in P\}$

Transitions:

- (1) $([A \rightarrow \alpha \bullet X \beta], X, [A \rightarrow \alpha X \bullet \beta]), \quad X \in (N \cup T), A \rightarrow \alpha X \beta \in P;$
- (2) $([A \rightarrow \alpha \bullet B \beta], \epsilon, [B \rightarrow \bullet \gamma]), \quad A \rightarrow \alpha B \beta, B \rightarrow \gamma \in P;$

The automaton $c(G)$ is called **characteristic automaton** for G .

Reverse Rightmost Derivations in Shift-Reduce-Parsers

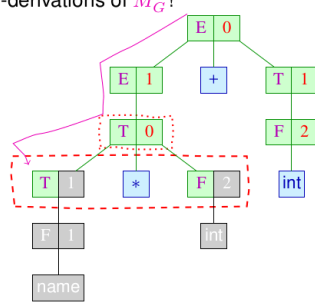
Idea: Observe **reverse rightmost**-derivations of M_G^{RI} !

Input:

+ 40

Pushdown:

$(q, (T * F))$



Characteristic Automaton

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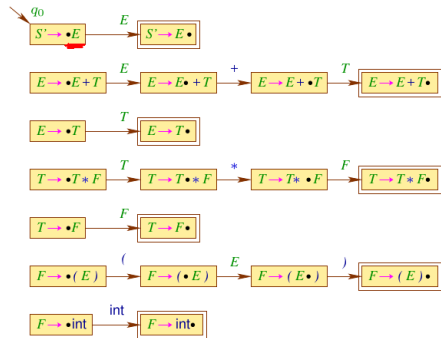
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Characteristic Automaton

For example:

$E \rightarrow E+T$		T
$T \rightarrow T*F$		F
$F \rightarrow (E)$		int



Characteristic Automaton

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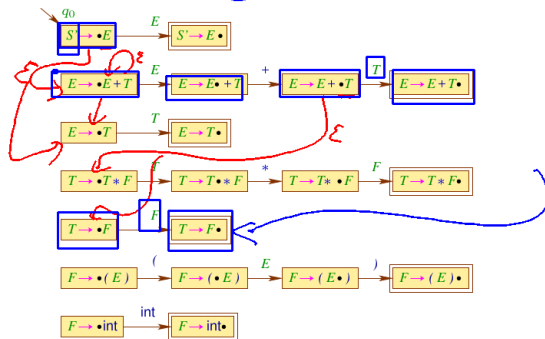
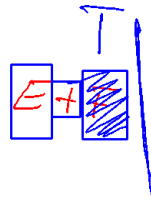
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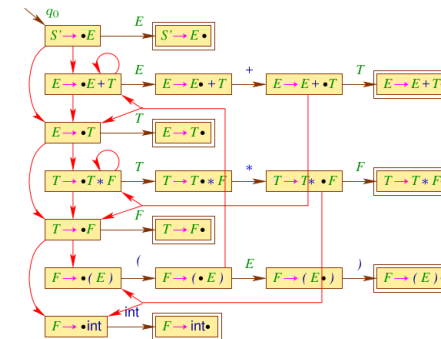
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Characteristic Automaton

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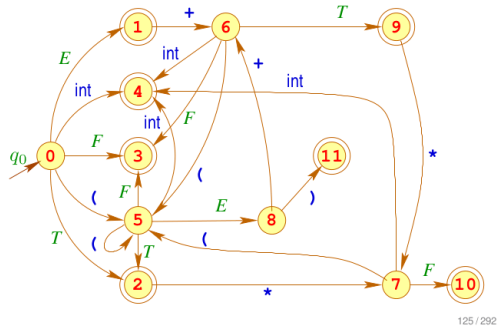
$E \rightarrow E+T$		T
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Canonical LR(0)-Automaton

- The canonical LR(0)-automaton $LR(G)$ is created from $c(G)$ by:
- performing arbitrarily many c -transitions after every consuming transition
 - performing the powerset construction

... for example:



Canonical LR(0)-Automaton

Example:

$$\begin{array}{l}
 E \rightarrow E+T \\
 T \rightarrow T*F \\
 F \rightarrow (E)
 \end{array}
 \quad \Bigg| \quad
 \begin{array}{l}
 T \\
 F \\
 \text{int}
 \end{array}$$

Therefore we determine:

Handwritten notes showing the construction of LR(0) items and transitions:

$$q_0 = \{ [S' \rightarrow \cdot E], [E \rightarrow \cdot E+T], [E \rightarrow \cdot T], [T \rightarrow \cdot T*F], [T \rightarrow \cdot F], [F \rightarrow \cdot (E)], [F \rightarrow \cdot \text{int}] \}$$

$$\delta(q_0, E) = q_1 = \{ [S' \rightarrow E \cdot], [E \rightarrow E \cdot +T] \}$$

$$\delta(q_1, +) = q_2 = \{ [E \rightarrow E+ \cdot T], [T \rightarrow \cdot T*F], [T \rightarrow F] \}$$

Canonical LR(0)-Automaton

$q_5 = \delta(q_0, ($	$= \{ [F \rightarrow (\cdot E)], [E \rightarrow \cdot E+T], [E \rightarrow \cdot T], [T \rightarrow \cdot T*F], [T \rightarrow \cdot F], [F \rightarrow \cdot (E)], [F \rightarrow \cdot \text{int}] \}$	$q_7 = \delta(q_2, *)$	$= \{ [T \rightarrow T* \cdot F], [F \rightarrow \cdot (E)], [F \rightarrow \cdot \text{int}] \}$
$q_6 = \delta(q_1, +)$	$= \{ [E \rightarrow E+ \cdot T], [T \rightarrow \cdot T*F], [T \rightarrow \cdot F], [F \rightarrow \cdot (E)], [F \rightarrow \cdot \text{int}] \}$	$q_8 = \delta(q_5, E)$	$= \{ [F \rightarrow (E \cdot)], [E \rightarrow E \cdot +T] \}$
	$q_9 = \delta(q_6, T)$	$q_{10} = \delta(q_7, F)$	$= \{ [T \rightarrow T*F \cdot] \}$
		$q_{11} = \delta(q_8,)$	$= \{ [F \rightarrow (E) \cdot] \}$

Canonical LR(0)-Automaton

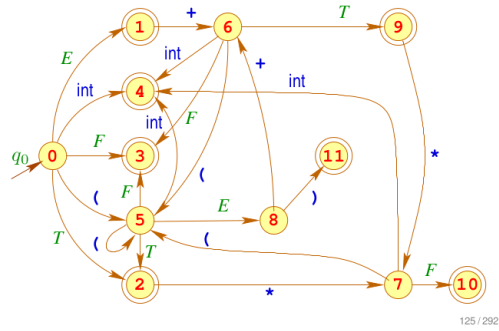
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$q_6 = \delta(q_1, +)$	$= \{ [E \rightarrow E+ \cdot T], [T \rightarrow \cdot T*F], [T \rightarrow \cdot F], [F \rightarrow \cdot (E)], [F \rightarrow \cdot \text{int}] \}$	$q_8 = \delta(q_5, E)$	$= \{ [F \rightarrow (E \cdot)], [E \rightarrow E \cdot +T] \}$
		$q_9 = \delta(q_6, T)$	$= \{ [E \rightarrow E+T \cdot], [T \rightarrow T \cdot *F] \}$
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Canonical LR(0)-Automaton

The canonical LR(0)-automaton $LR(G)$ is created from $c(G)$ by:

- performing arbitrarily many ϵ -transitions after every consuming transition
- performing the powerset construction

... for example:



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Canonical LR(0)-Automaton

Observation:

The canonical LR(0)-automaton can be created directly from the grammar.

Therefore we need a helper function δ_ϵ^* (ϵ -closure)

$$\delta_\epsilon^*(q) = q \cup \{ [B \rightarrow \bullet \gamma] \mid \exists [A \rightarrow \alpha \bullet B' \beta'] \in q, \beta \in (N \cup T)^* : B' \rightarrow^* B \beta \}$$

We define:

States: Sets of items;

Start state: $\delta_\epsilon^* \{ [S' \rightarrow \bullet S] \}$

Final states: $\{ q \mid \exists A \rightarrow \alpha \in P : [A \rightarrow \alpha \bullet] \in q \}$

Transitions: $\delta(q, X) = \delta_\epsilon^* \{ [A \rightarrow \alpha X \bullet \beta] \mid [A \rightarrow \alpha \bullet X \beta] \in q \}$

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LR(0)-Parser

Idea for a parser:

- The parser manages a viable prefix $\alpha = X_1 \dots X_m$ on the pushdown and uses $LR(G)$, to identify reduction spots.
- It can reduce with $A \rightarrow \gamma$, if $[A \rightarrow \gamma \bullet]$ is admissible for α

Optimization:

We push the **states** instead of the X_i in order not to process the pushdown's content with the automaton anew all the time. Reduction with $A \rightarrow \gamma$ leads to popping the uppermost $|\gamma|$ states and continue with the state on top of the stack and input A .

Attention:

This parser is only **deterministic**, if each final state of the canonical LR(0)-automaton is **conflict free**.

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LR(0)-Parser

... for example:

$$\begin{aligned} q_1 &= \{ [S' \rightarrow E \bullet], [E \rightarrow E \bullet + T] \} \\ q_2 &= \{ [E \rightarrow T \bullet], [T \rightarrow T \bullet * F] \} \\ q_3 &= \{ [T \rightarrow F \bullet] \} \\ q_4 &= \{ [F \rightarrow \text{int} \bullet] \} \\ q_9 &= \{ [E \rightarrow E + T \bullet], [T \rightarrow T * F \bullet] \} \\ q_{10} &= \{ [T \rightarrow T * F \bullet] \} \\ q_{11} &= \{ [F \rightarrow (E) \bullet] \} \end{aligned}$$

The final states q_1, q_2, q_9 contain more than one admissible item \Rightarrow non deterministic!

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LR(0)-Parser

The construction of the $LR(0)$ -parser:

States: $Q \cup \{f\}$ (f fresh)

Start state: q_0

Final state: f

Transitions:

Shift: (p, a, pq) if $q = \delta(p, a) \neq \emptyset$
Reduce: $(pq_1 \dots q_m, \epsilon, pq)$ if $[A \rightarrow X_1 \dots X_m \bullet] \in q_m$,
 $q = \delta(p, A)$
Finish: (q, ϵ, f) if $[S' \rightarrow S \bullet] \in q$

with $LR(G) = (Q, T, \delta, q_0, F)$.

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LR(0)-Parser

Correctness:

we show:

The accepting computations of an $LR(0)$ -parser are one-to-one related to those of a shift-reduce parser M_G^R .

we conclude:

- The accepted language is exactly $\mathcal{L}(G)$
- The sequence of reductions of an accepting computation for a word $w \in T$ yields a **reverse rightmost derivation** of G for w

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LR(0)-Parser

Attention:

Unfortunately, the $LR(0)$ -parser is in general non-deterministic.

We identify two reasons:

Reduce-Reduce-Conflict:

$[A \rightarrow \gamma \bullet], [A' \rightarrow \gamma' \bullet] \in q$ with $A \neq A' \vee \gamma \neq \gamma'$

Shift-Reduce-Conflict:

$[A \rightarrow \gamma \bullet], [A' \rightarrow \alpha \bullet a \beta] \in q$ with $a \in T$

for a state $q \in Q$.

Those states are called $LR(0)$ -unsuited.

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Revisiting the Conflicts of the LR(0)-Automaton

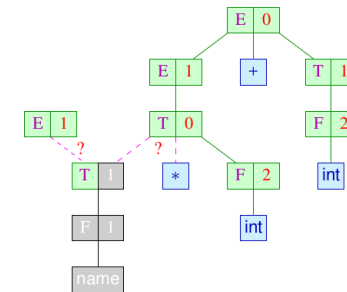
What differentiates the particular Reductions and Shifts?

Input:

$* 2 + 40$

Pushdown:

$(q_0 T)$



$E \rightarrow E + T \quad | \quad T$
 $T \rightarrow T * F \quad | \quad F$
 $F \rightarrow (E) \quad | \quad \text{int}$

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LR(k)-Grammars

Idea: Consider k -lookahead in conflict situations.

Definition:

The reduced contextfree grammar G is called $LR(k)$ -grammar, if for $\text{First}_k(w) = \text{First}_k(x)$ with:

$$\left. \begin{array}{l} S \xrightarrow{*}_R \alpha Aw \rightarrow \alpha\beta w \\ S \xrightarrow{*}_R \alpha' A' w' \rightarrow \alpha'\beta' x \end{array} \right\} \text{ follows: } \alpha = \alpha' \wedge A = A' \wedge w' = x$$



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LR(k)-Grammars

for example:

$$(1) \quad S \rightarrow A \mid B \quad A \rightarrow aAb \mid 0 \quad B \rightarrow aBbb \mid 1$$

$$ab^n \underline{bAb} b^n c$$

$bbbb$

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LR(k)-Grammars

for example:

$$(3) \quad S \rightarrow aAc \quad A \rightarrow bbA \mid b \quad \dots \text{ is not } LR(0), \text{ but } LR(1):$$

Let $S \xrightarrow{*}_R \alpha X w \rightarrow \alpha\beta w$ with $\{y\} = \text{First}_k(w)$ then $\alpha\beta y$ is of one of these forms:

$$ab^{2n} \underline{bc}, ab^{2n} \underline{bbAc}, \underline{aAc}$$

$$(4) \quad S \rightarrow aAc \quad A \rightarrow bAb \mid b \quad \dots \text{ is not } LR(k) \text{ for any } k \geq 0:$$

Consider the rightmost derivations:

$$S \xrightarrow{*}_R ab^n Ab^n c \rightarrow ab^n \underline{bb}^n c$$

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