

Script generated by TTT

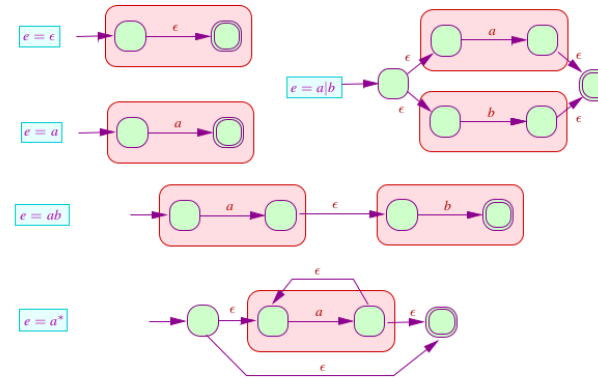
Title: Petter: Compilerbau (27.04.2015)

Date: Mon Apr 27 14:15:12 CEST 2015

Duration: 97:10 min

Pages: 63

## In linear time from Regular Expressions to NFAs



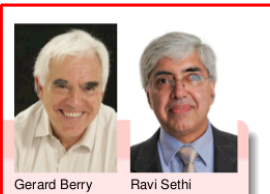
### Thompson's Algorithm

Produces  $\mathcal{O}(n)$  states for regular expressions of length  $n$ .



Ken Thompson

## Berry-Sethi Approach



Gerard Berry Ravi Sethi

### Berry-Sethi Algorithm

Produces exactly  $n + 1$  states without  $\epsilon$ -transitions and demonstrates  $\rightarrow$  *Equality Systems* and  $\rightarrow$  *Attribute Grammars*

#### Idea:

The automaton tracks (conceptionally via a marker " $\bullet$ "), in the syntax tree of a regular expression, which subexpressions in  $e$  are reachable consuming the rest of input  $w$ .

## Berry-Sethi Approach



Viktor M. Glushkov

### Glushkov Algorithm

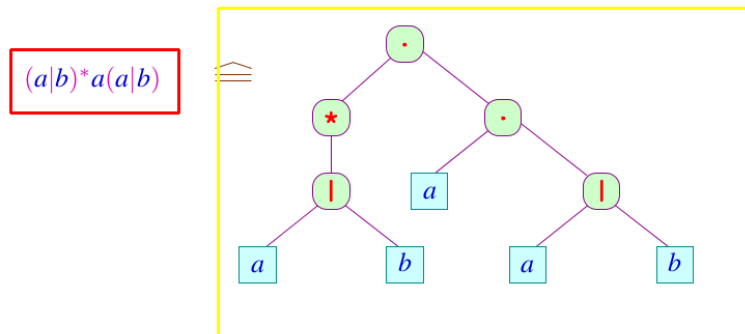
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## Berry-Sethi Approach

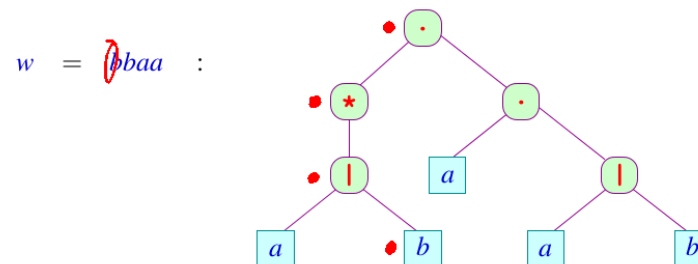
... for example:



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## Berry-Sethi Approach

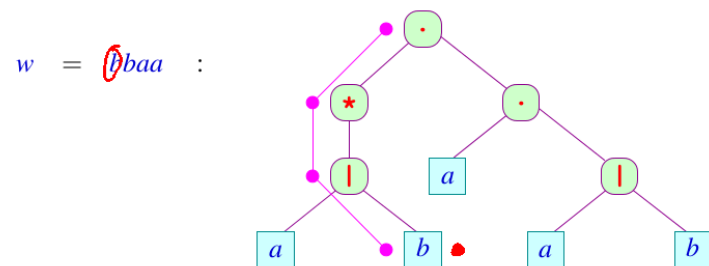
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## Berry-Sethi Approach

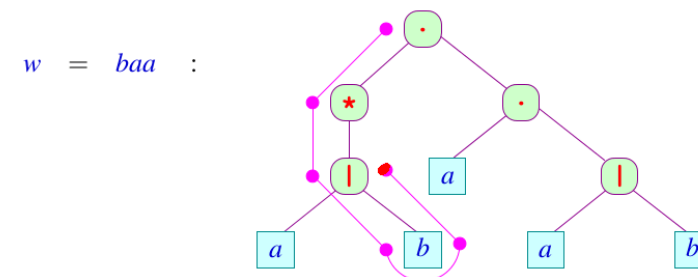
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## Berry-Sethi Approach

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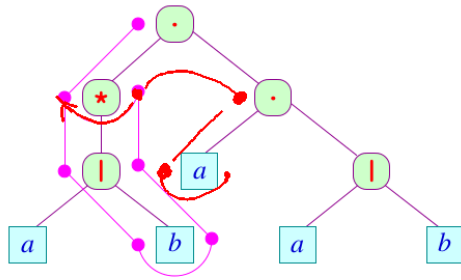


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## Berry-Sethi Approach

... for example:

$w = \cancel{a}$  :

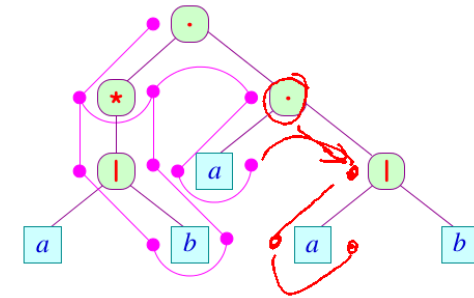


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## Berry-Sethi Approach

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$w = a$  :

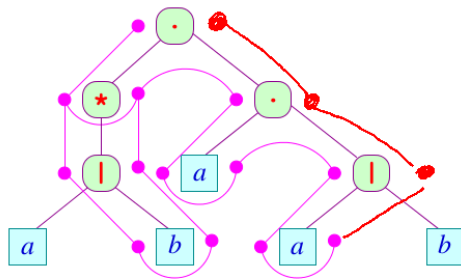


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## Berry-Sethi Approach

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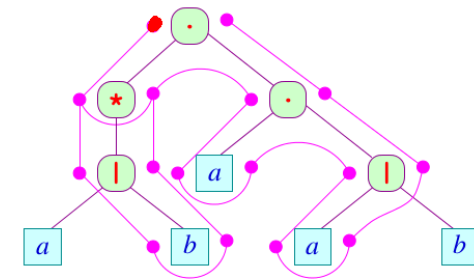


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## Berry-Sethi Approach

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## Berry-Sethi Approach

### In general:

- Input is only consumed at the leaves.
- Navigating the tree does not consume input  $\rightarrow \epsilon$ -transitions
- For a formal construction we need **identifiers** for states.
- For a node  $n$ 's **identifier** we take the **subexpression**, corresponding to the subtree dominated by  $n$ .
- There are possibly **identical subexpressions** in one regular expression.

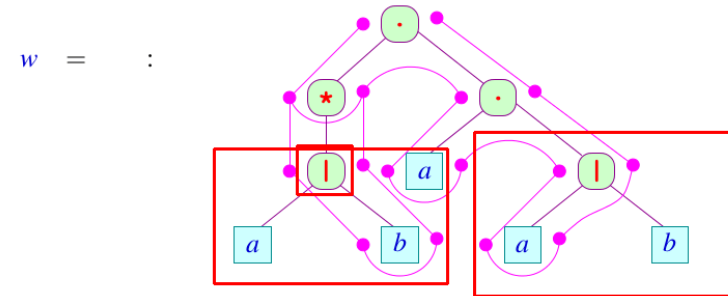
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## Berry-Sethi Approach

$ab$

... for example:



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## Berry-Sethi Approach

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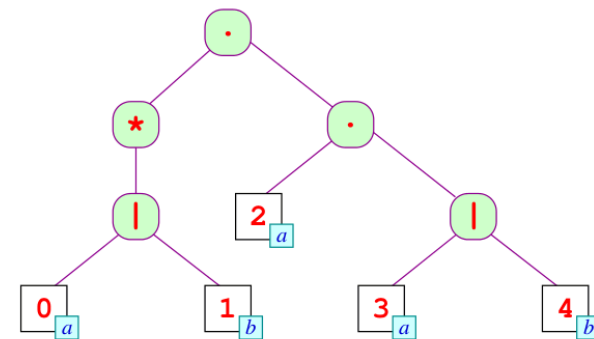
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## Berry-Sethi Approach (naive version)

Construction (naive version):

**States:**  $\bullet r, r\bullet$  with  $r$  nodes of  $e$ ;

**Start state:**  $\bullet e$ ;

**Final state:**  $e\bullet$ ;

**Transitions:** for leaves  $r \equiv \boxed{i \ x}$  we require:  $(\bullet r, x, r\bullet)$ .

The leftover transitions are:

$r$	Transitions
$r_1 \mid r_2$	$(\bullet r, \epsilon, \bullet r_1)$ $(\bullet r, \epsilon, \bullet r_2)$ $(r_1\bullet, \epsilon, r\bullet)$ $(r_2\bullet, \epsilon, r\bullet)$
$r_1 \cdot r_2$	$(\bullet r, \epsilon, \bullet r_1)$ $(r_1\bullet, \epsilon, \bullet r_2)$ $(r_2\bullet, \epsilon, r\bullet)$



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## Berry-Sethi Approach

### Discussion:

- Most transitions navigate through the expression
- The resulting automaton is in general **nondeterministic**

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⇒ **Strategy for the sophisticated version:**  
Avoid generating  $\epsilon$ -transitions

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### Idea:

Pre-compute helper attributes during **D**(epth)**F**(irst)**S**(earch)!

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### Idea:

Pre-compute helper attributes during **D**(epth)**F**(irst)**S**(earch)!

### Necessary node-attributes:

**first** the set of read states below  $r$ , which may be reached first, when descending into  $r$ .

**next** the set of read states to the right of  $r$ , which may be reached first in the traversal after  $r$ .

**last** the set of read states below  $r$ , which may be reached last when descending into  $r$ .

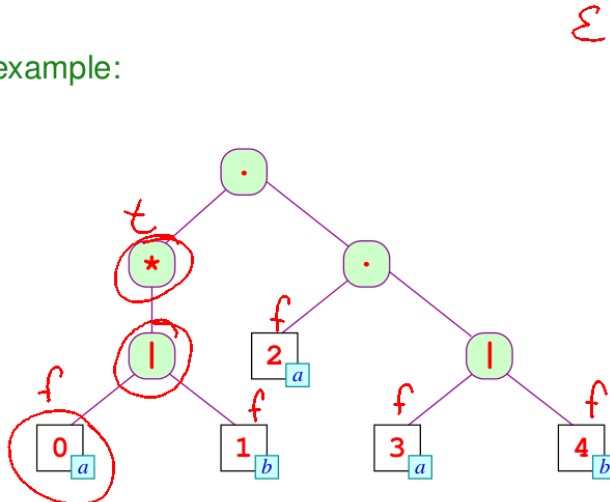
**empty** can the subexpression  $r$  consume  $\epsilon$ ?

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## Berry-Sethi Approach: 1st step

$\text{empty}[r] = t$  if and only if  $\epsilon \in [r]$

... for example:

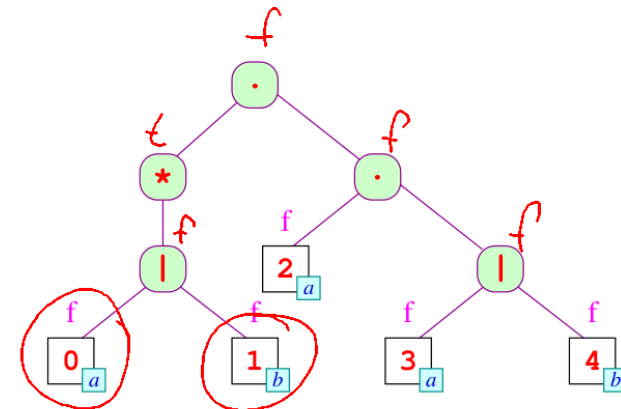


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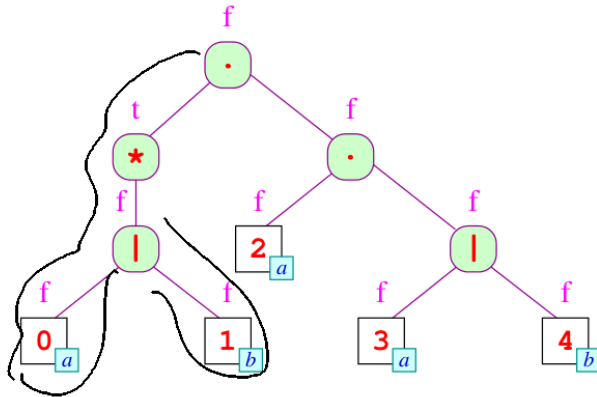


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## Berry-Sethi Approach: 2nd step

### Implementation:

DFS post-order traversal

for leaves  $r \equiv [i \ x]$  we find  $\text{empty}[r] = (x \equiv \epsilon)$ .

Otherwise:

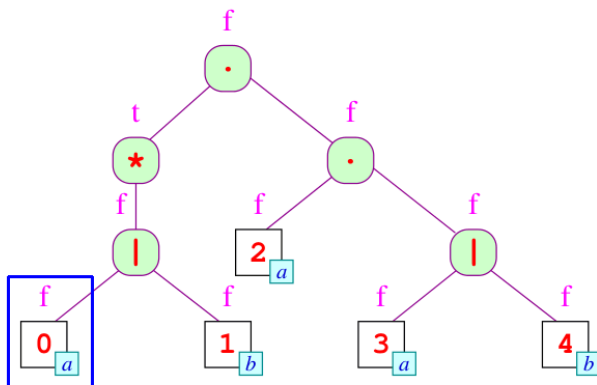
$$\begin{aligned} \text{empty}[r_1 \mid r_2] &= \text{empty}[r_1] \vee \text{empty}[r_2] \\ \text{empty}[r_1 \cdot r_2] &= \text{empty}[r_1] \wedge \text{empty}[r_2] \\ \text{empty}[r_1^*] &= t \\ \text{empty}[r_1^?] &= t \end{aligned}$$

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## Berry-Sethi Approach: 2nd step

The **may-set of first reached read states**: The set of read states, that may be reached from  $\bullet r$  (i.e. while descending into  $r$ ) via sequences of  $\epsilon$ -transitions:  $\text{first}[r] = \{i \text{ in } r \mid (\bullet r, \epsilon, \bullet [i \ x]) \in \delta^*, x \neq \epsilon\}$

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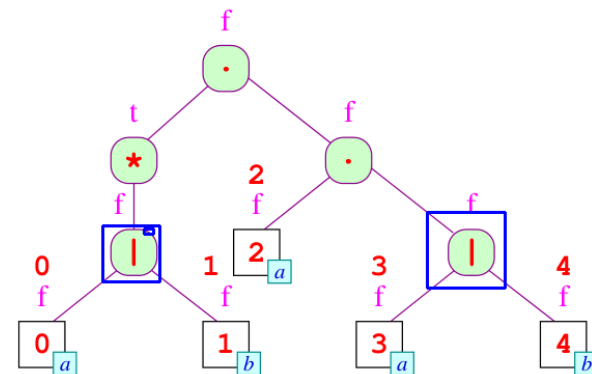


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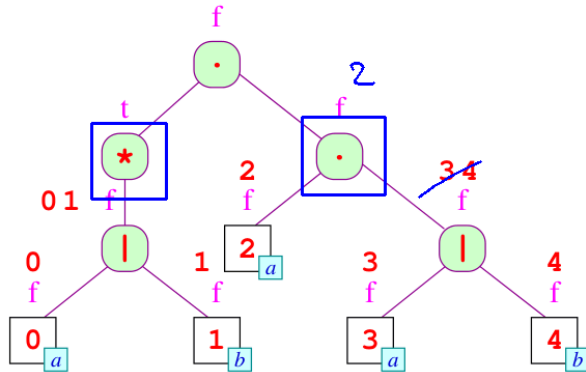


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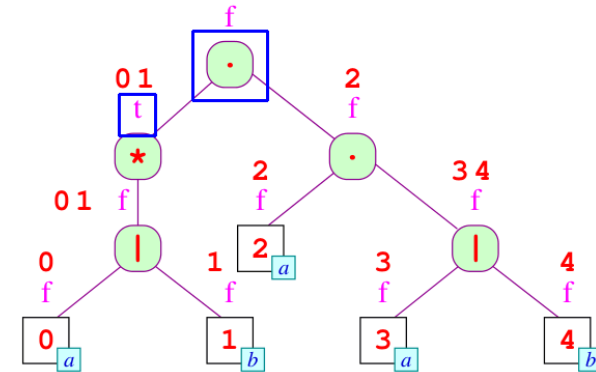


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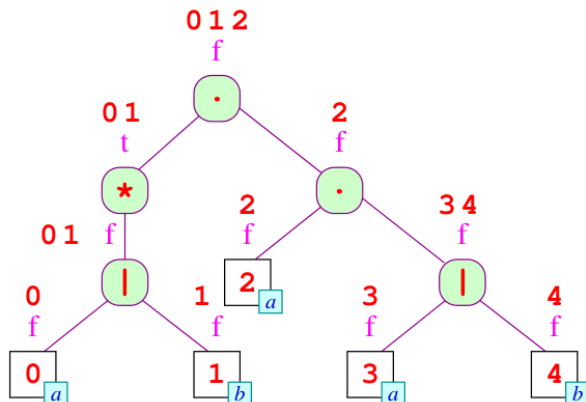


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for leaves  $r \equiv \boxed{i \boxed{x}}$  we find  $\text{first}[r] = \{i \mid x \neq \epsilon\}$ .

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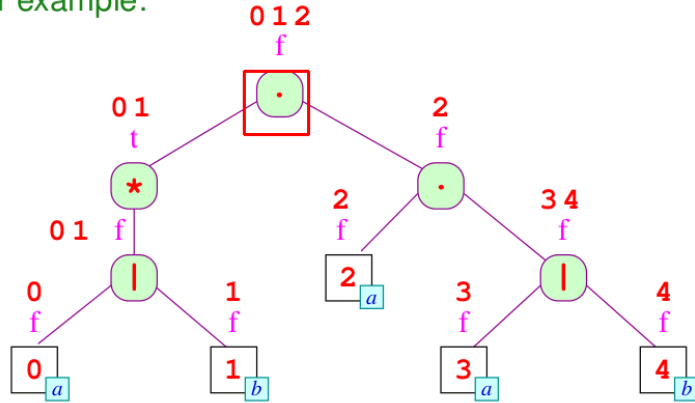
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### Berry-Sethi Approach: 3rd step

The **may-set** of **next read states**: The set of read states within the subtrees right of  $r\bullet$ , that may be reached next via sequences of  $\epsilon$ -transitions.  $\text{next}[r] = \{i \mid (r\bullet, \epsilon, \bullet \boxed{i} x) \in \delta^*, x \neq \epsilon\}$

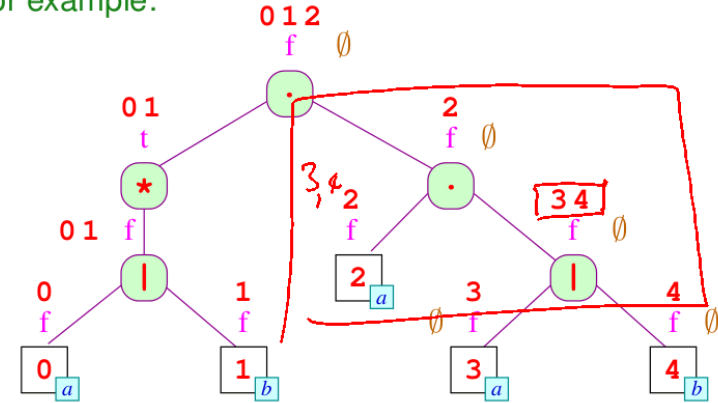
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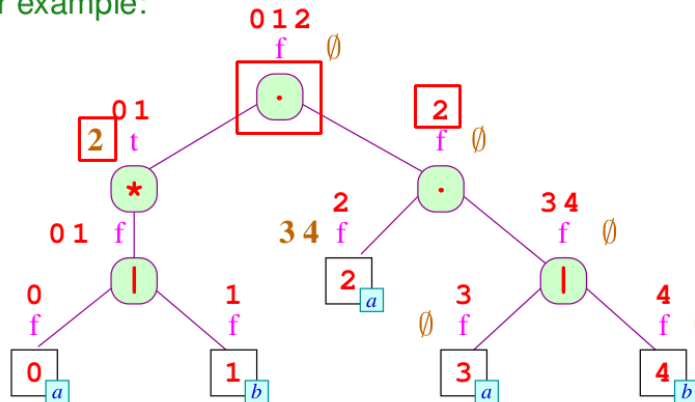
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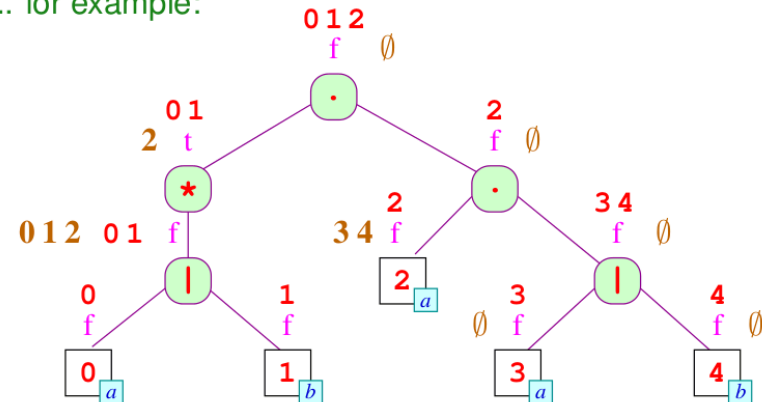
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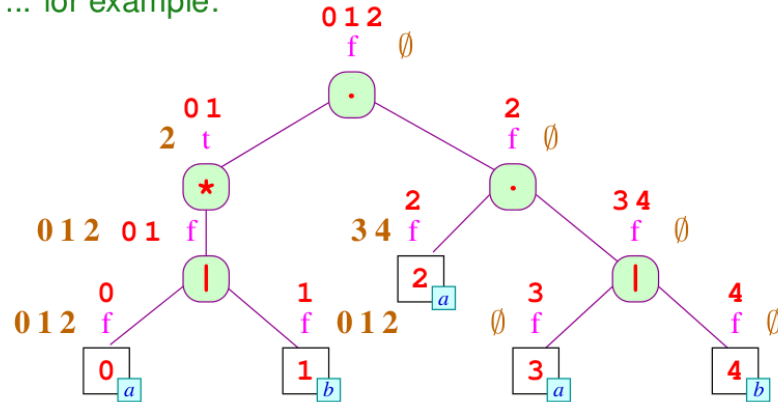
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... for example:



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## Berry-Sethi Approach: 3rd step

### Implementation:

DFS **pre-order** traversal

For the root, we find:  $next[e] = \emptyset$

Apart from that we distinguish, based on the **context**:

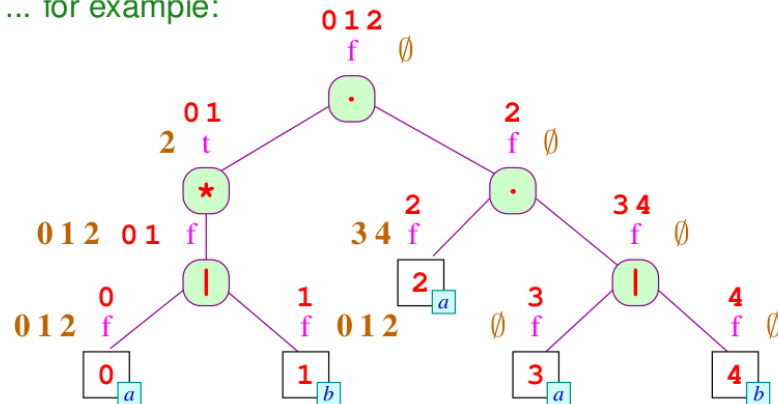
$r$	Equalities
$r_1 \mid r_2$	$next[r_1] = next[r]$ $next[r_2] = next[r]$
$r_1 \cdot r_2$	$next[r_1] = \begin{cases} first[r_2] \cup next[r] & \text{if } empty[r_2] = t \\ first[r_2] & \text{if } empty[r_2] = f \end{cases}$ $next[r_2] = next[r]$
$r_1^*$	$next[r_1] = first[r_1] \cup next[r]$
$r_1^?$	$next[r_1] = next[r]$

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## Berry-Sethi Approach: 4th step

The **may-set of last reached read states**: The set of read states, which may be reached last during the traversal of  $r$  connected to the root via  $\epsilon$ -transitions only:  $last[r] = \{i \text{ in } r \mid (\boxed{i} x \bullet, \epsilon, r \bullet) \in \delta^*, x \neq \epsilon\}$

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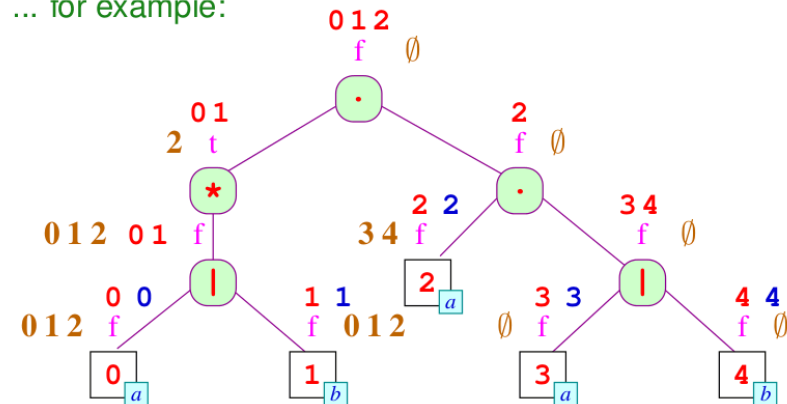


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## Berry-Sethi Approach: 4th step

### Implementation:

DFS **post-order** traversal

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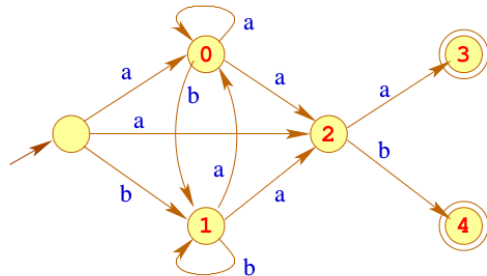
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### Remarks:

- This construction is known as **Berry-Sethi-** or **Glushkov-**construction.
- It is used for **XML** to define **Content Models**
- The result may not be, what we had in mind...

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## Berry-Sethi Approach: (sophisticated version)

**Construction (sophisticated version):** Create an automaton based on the syntax tree's new attributes:

**States:**  $\{\bullet e\} \cup \{i\bullet \mid i \text{ a leaf}\}$

**Start state:**  $\bullet e$

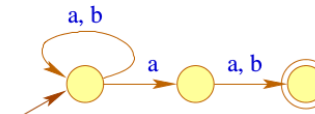
**Final states:**  $\text{last}[e]$  if  $\text{empty}[e] = f$   
 $\{\bullet e\} \cup \text{last}[e]$  otherwise

**Transitions:**  $(\bullet e, a, i\bullet)$  if  $i \in \text{first}[e]$  and  $i$  labeled with  $a$ . ✓  
 $(i\bullet, a, i'\bullet)$  if  $i' \in \text{next}[i]$  and  $i'$  labeled with  $a$ . ✓

We call the resulting automaton  $A_e$ .

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## The expected outcome:



### Remarks:

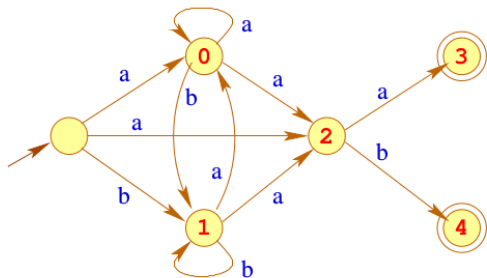
- ideal automaton would be even more compact
- but Berry-Sethi is rather directly constructed
- Anyway, we need a **deterministic** version

⇒ **Powerset-Construction**

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... for example:

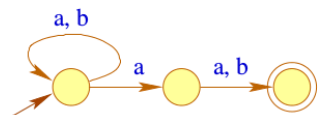


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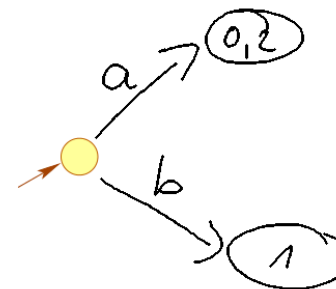
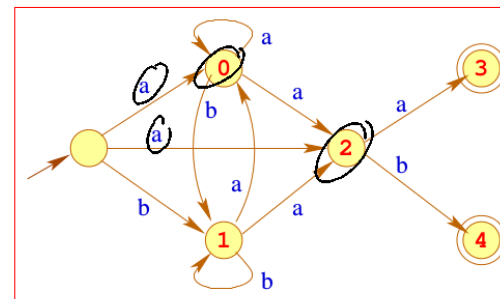
## Chapter 4:

### Turning NFAs deterministic

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## Powerset Construction

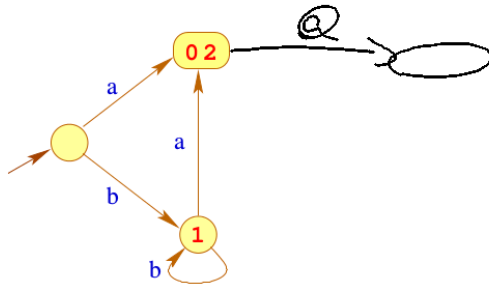
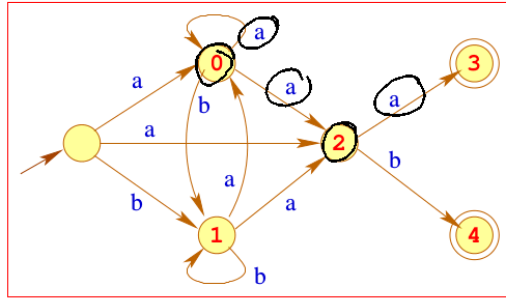
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## Powerset Construction

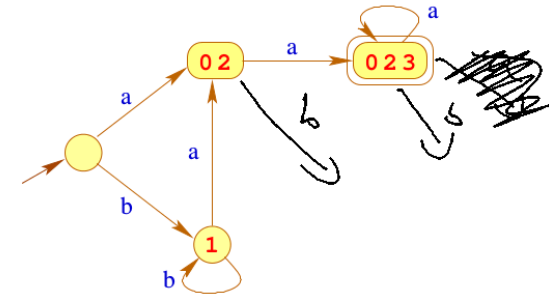
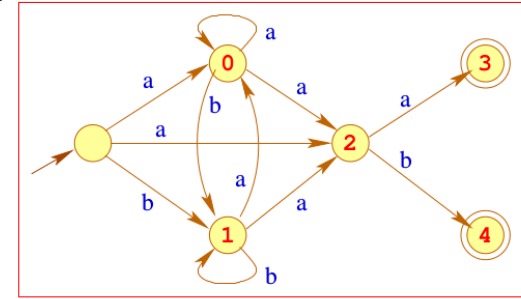
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## Powerset Construction

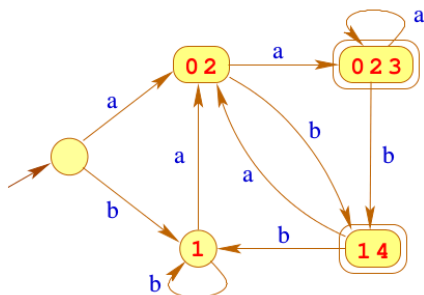
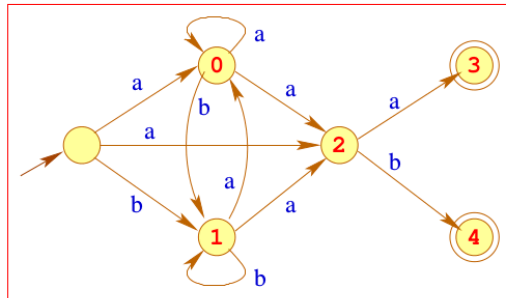
... for example:



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## Powerset Construction

... for example:



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## Powerset Construction

### Theorem:

For every non-deterministic automaton  $A = (Q, \Sigma, \delta, I, F)$  we can compute a deterministic automaton  $\mathcal{P}(A)$  with

$$\mathcal{L}(A) = \mathcal{L}(\mathcal{P}(A))$$

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## Powerset Construction

### Theorem:

For every non-deterministic automaton  $A = (Q, \Sigma, \delta, I, F)$  we can compute a deterministic automaton  $\mathcal{P}(A)$  with

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### Construction:

**States:** Powersets of  $Q$ ;

**Start state:**  $I$ ;

**Final states:**  $\{Q' \subseteq Q \mid Q' \cap F \neq \emptyset\}$ ;

**Transitions:**  $\delta_{\mathcal{P}}(Q', a) = \{q \in Q \mid \exists p \in Q' : (p, a, q) \in \delta\}$ .

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## Powerset Construction

### Bummer!

There are exponentially many powersets of  $Q$

- **Idea:** Consider only **contributing** powersets. Starting with the set  $Q_{\mathcal{P}} = \{I\}$  we only add further states **by need** ...
- i.e., whenever we can reach them from a state in  $Q_{\mathcal{P}}$
- Even though, the resulting automaton can become enormously **huge** ... which is (sort of) not happening in **practice**
- Therefore, in tools like **grep** a regular expression's **DFA** is never created!
- Instead, only the sets, directly necessary for interpreting the input are generated **while processing the input**

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## Powerset Construction

### Bummer!

There are exponentially many powersets of  $Q$

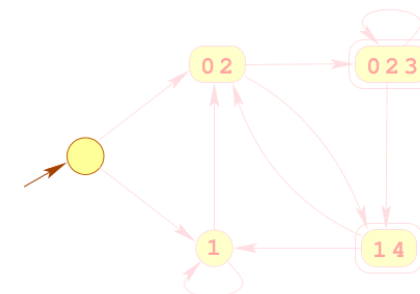
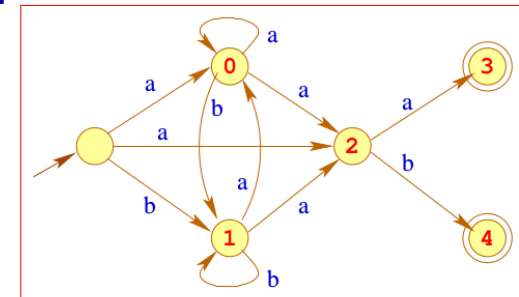
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## Powerset Construction

... for example:

a b a b

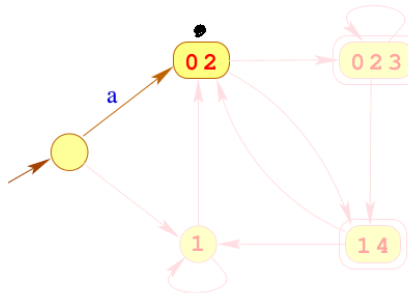
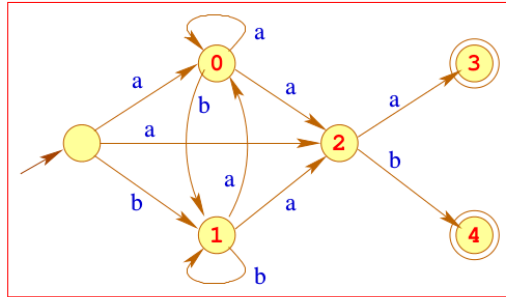


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## Powerset Construction

... for example:

a b a b

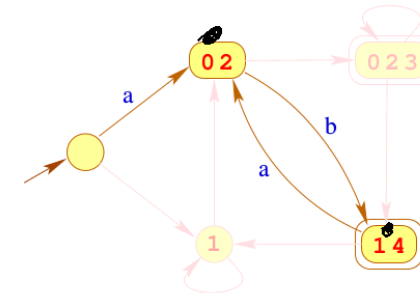
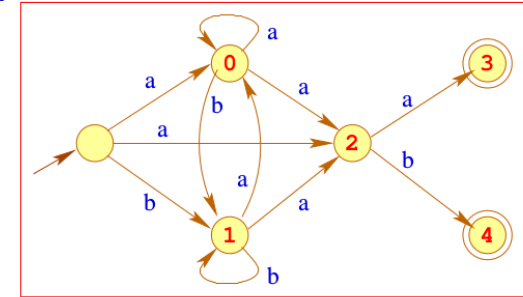


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## Powerset Construction

... for example:

a b a b

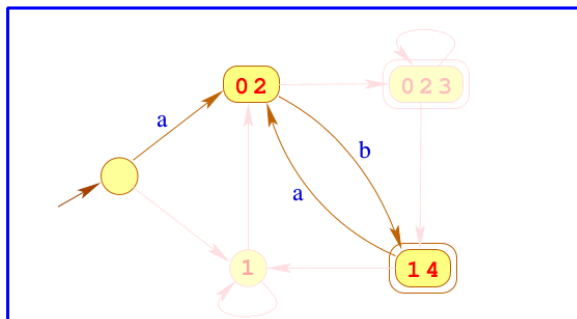
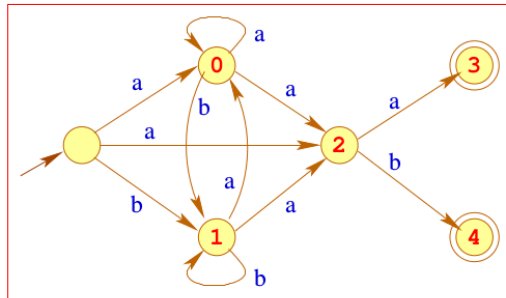


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## Powerset Construction

... for example:

a b a b



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## Remarks:

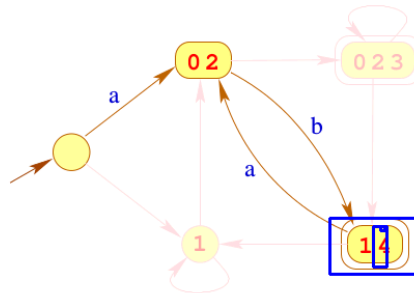
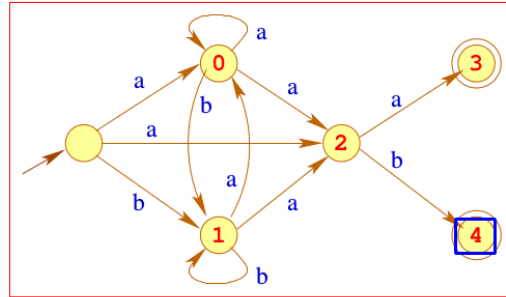
- For an input sequence of length  $n$ , maximally  $\mathcal{O}(n)$  sets are generated
- Once a set/edge of the DFA is generated, they are stored within a hash-table.
- Before generating a new transition, we check this table for already existing edges with the desired label.

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## Powerset Construction

... for example:

a b a b



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## Remarks:

- For an input sequence of length  $n$ , maximally  $O(n)$  sets are generated
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Summary:

### Theorem:

For each regular expression  $e$  we can compute a deterministic automaton  $A = \mathcal{P}(A_e)$  with

$$\mathcal{L}(A) = \llbracket e \rrbracket$$

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## Remarks:

- For an input sequence of length  $n$ , maximally  $O(n)$  sets are generated
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Lexical Analysis

## Chapter 5: Scanner design

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