

## Script generated by TTT

Title: Simon: Compilerbau (13.05.2013)

Date: Mon May 13 14:21:18 CEST 2013

Duration: 83:21 min

Pages: 33

## Lookahead Sets

for example...

$$\begin{array}{l} E \rightarrow E+T \quad | \quad T \\ T \rightarrow T * F \quad | \quad F \\ F \rightarrow (E) \quad | \quad \text{name} \quad | \quad \text{int} \end{array}$$

with  $\text{empty}(E) = \text{empty}(T) = \text{empty}(F) = \text{false}$

## Lookahead Sets

For  $\alpha \in (N \cup T)^*$  we are interested in the set:

$$\text{First}_1(\alpha) = \text{First}_1(\{w \in T^* \mid \alpha \rightarrow^* w\})$$

Idea: Treat  $\epsilon$  separately:  $F_\epsilon$

- Let  $\text{empty}(X) = \text{true}$  iff  $X \rightarrow^* \epsilon$ .
- $F_\epsilon(X_1 \dots X_m) = \bigcup_{i=1}^m F_\epsilon(X_i)$  if  $\text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_{j-1})$

We characterize the  $\epsilon$ -free  $\text{First}_1$ -sets with an inequality system:

$$\begin{array}{l} F_\epsilon(a) = \{a\} \quad \text{if } a \in T \\ F_\epsilon(A) \supseteq F_\epsilon(X_j) \quad \text{if } A \rightarrow X_1 \dots X_m \in P, \\ \quad \quad \quad \quad \quad \quad \quad \quad \text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_{j-1}) \end{array}$$

97 / 150

## Lookahead Sets

For  $\alpha \in (N \cup T)^*$  we are interested in the set:

$$\text{First}_1(\alpha) = \text{First}_1(\{w \in T^* \mid \alpha \rightarrow^* w\})$$

Idea: Treat  $\epsilon$  separately:  $F_\epsilon$

- Let  $\text{empty}(X) = \text{true}$  iff  $X \rightarrow^* \epsilon$ .
- $F_\epsilon(X_1 \dots X_m) = \bigcup_{i=1}^m F_\epsilon(X_i)$  if  $\text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_{j-1})$

We characterize the  $\epsilon$ -free  $\text{First}_1$ -sets with an inequality system:

$$\begin{array}{l} F_\epsilon(a) = \{a\} \quad \text{if } a \in T \\ F_\epsilon(A) \supseteq F_\epsilon(X_j) \quad \text{if } A \rightarrow X_1 \dots X_m \in P, \\ \quad \quad \quad \quad \quad \quad \quad \quad \text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_{j-1}) \end{array}$$

98 / 150

97 / 150

## Lookahead Sets

for example...

$$\begin{array}{l|l} E \rightarrow E+T & T \\ T \rightarrow T*F & F \\ F \rightarrow (E) & \text{name} \quad | \quad \text{int} \end{array}$$

with  $\text{empty}(E) = \text{empty}(T) = \text{empty}(F) = \text{false}$



98 / 150

## Fast Computation of Lookahead Sets

Observation:

- The form of each inequality of these systems is:

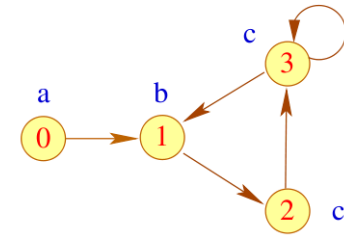
$$x \supseteq y \quad \text{resp.} \quad x \supseteq d$$

for variables  $x, y$  and  $d \in D$ .

- Such systems are called **pure unification problems**
- Such problems can be solved in **linear** space/time.

for example:  $D = 2^{\{a,b,c\}}$

$$\begin{array}{l} x_0 \supseteq \{a\} \\ x_1 \supseteq \{b\} \\ x_2 \supseteq \{c\} \\ x_3 \supseteq \{c\} \end{array} \quad \begin{array}{l} x_1 \supseteq x_0 \\ x_2 \supseteq x_1 \\ x_3 \supseteq x_2 \end{array} \quad \begin{array}{l} x_1 \supseteq x_3 \\ x_3 \supseteq x_3 \end{array}$$



99 / 150

## Lookahead Sets

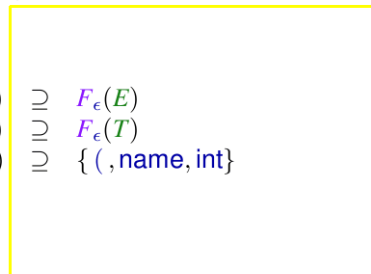
for example...

$$\begin{array}{l|l} E \rightarrow E+T & T \\ T \rightarrow T*F & F \\ F \rightarrow (E) & \text{name} \quad | \quad \text{int} \end{array}$$

with  $\text{empty}(E) = \text{empty}(T) = \text{empty}(F) = \text{false}$

... we obtain:

$$\begin{array}{l} F_\epsilon(S') \supseteq F_\epsilon(E) \quad F_\epsilon(E) \supseteq F_\epsilon(E) \\ F_\epsilon(E) \supseteq F_\epsilon(T) \quad F_\epsilon(T) \supseteq F_\epsilon(T) \\ F_\epsilon(T) \supseteq F_\epsilon(F) \quad F_\epsilon(F) \supseteq \{(\text{, name, int})\} \end{array}$$

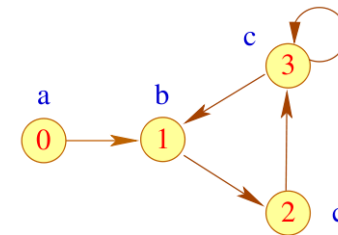


98 / 150

## Fast Computation of Lookahead Sets



Frank DeRemer & Tom Pennello



Proceeding:

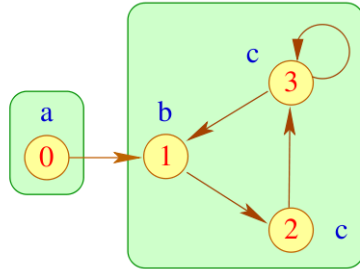
- Create the **Variable dependency graph** for the inequality system.

100 / 150

## Fast Computation of Lookahead Sets



Frank DeRemer & Tom Pennello



Proceeding:

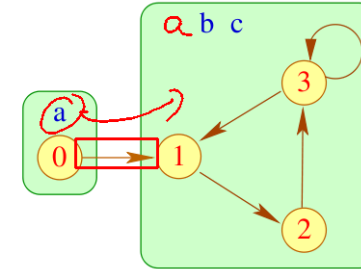
- Create the **Variable dependency graph** for the inequality system.
- Whithin a **strongly connected component** ( $\rightarrow$  Tarjan) all variables have the same value

100 / 150

## Fast Computation of Lookahead Sets



Frank DeRemer & Tom Pennello



Proceeding:

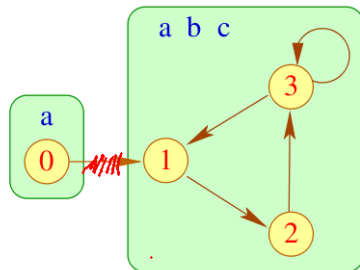
- Create the **Variable dependency graph** for the inequality system.
- Whithin a **strongly connected component** ( $\rightarrow$  Tarjan) all variables have the same value
- Is there no ingoing edge for an SCC, its value is computed via the smallest upper bound of all values within the SCC

100 / 150

## Fast Computation of Lookahead Sets



Frank DeRemer & Tom Pennello



Proceeding:

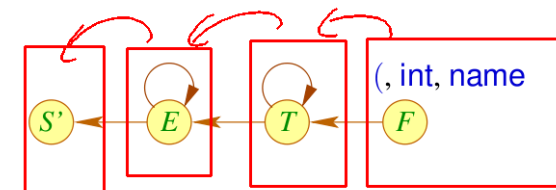
- Create the **Variable dependency graph** for the inequality system.
- Whithin a **strongly connected component** ( $\rightarrow$  Tarjan) all variables have the same value
- Is there no ingoing edge for an SCC, its value is computed via the smallest upper bound of all values within the SCC
- In case of ingoing edges, their values are also to be considered for the upper bound

100 / 150

## Fast Computation of Lookahead Sets

... for our example grammar:

First<sub>1</sub> :



101 / 150

## Item Pushdown Automaton as LL(1)-Parser

back to the example:  $S \rightarrow \epsilon \mid aSb$

The transitions in the according Item Pushdown Automaton:

|   |  |            |  |
|---|--|------------|--|
| 0 | $[S' \rightarrow \bullet S]$                               | $\epsilon$ | $[S' \rightarrow \bullet S] [S \rightarrow \bullet]$       |
| 1 | $[S' \rightarrow \bullet S]$                               | $\epsilon$ | $[S' \rightarrow \bullet S] [S \rightarrow \bullet aSb]$   |
| 2 | $[S \rightarrow \bullet aSb]$                              | $a$        | $[S \rightarrow a \bullet Sb]$                             |
| 3 | $[S \rightarrow a \bullet Sb]$                             | $\epsilon$ | $[S \rightarrow a \bullet Sb] [S \rightarrow \bullet]$     |
| 4 | $[S \rightarrow a \bullet Sb]$                             | $\epsilon$ | $[S \rightarrow a \bullet Sb] [S \rightarrow \bullet aSb]$ |
| 5 | $[S \rightarrow a \bullet Sb] [S \rightarrow \bullet]$     | $\epsilon$ | $[S \rightarrow aS \bullet b]$                             |
| 6 | $[S \rightarrow a \bullet Sb] [S \rightarrow aSb \bullet]$ | $\epsilon$ | $[S \rightarrow aS \bullet b]$                             |
| 7 | $[S \rightarrow aS \bullet b]$                             | $b$        | $[S \rightarrow aSb \bullet]$                              |
| 8 | $[S' \rightarrow \bullet S] [S \rightarrow \bullet]$       | $\epsilon$ | $[S' \rightarrow S \bullet]$                               |
| 9 | $[S' \rightarrow \bullet S] [S \rightarrow aSb \bullet]$   | $\epsilon$ | $[S' \rightarrow S \bullet]$                               |

Conflicts arise between transitions (0, 1) or (3, 4) resp..

102 / 150

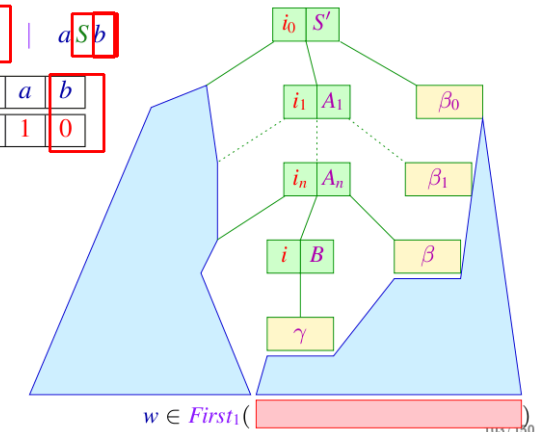
## Item Pushdown Automaton as LL(1)-Parser

Is  $G$  an  $LL(1)$ -grammar, we can index a lookahead-table with items and nonterminals:

We set  $M[B, w] = i$  exactly if  $(B, i)$  is the rule  $B \rightarrow \gamma$  and:  
 $w \in \text{First}_1(\gamma) \odot \bigcup \{ \text{First}_1(\beta) \mid S' \rightarrow_L^* uB\beta \}$ .

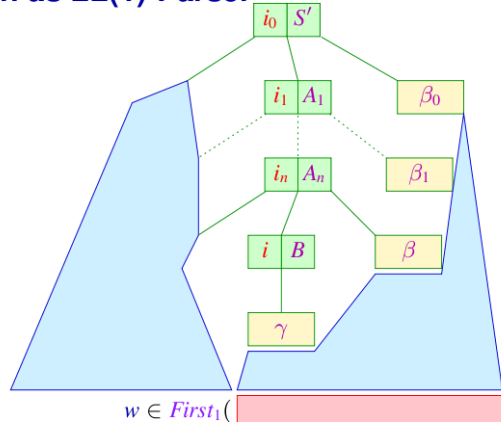
... for example:

|                                   |            |     |     |
|-----------------------------------|------------|-----|-----|
| $S \rightarrow \epsilon \mid aSb$ |            |     |     |
|                                   | $\epsilon$ | $a$ | $b$ |
| $S$                               | 0          | 1   | 0   |



103 / 150

## Item Pushdown Automaton as LL(1)-Parser



Inequality system for  $\text{Follow}_1(B) = \bigcup \{ \text{First}_1(\beta) \mid S' \rightarrow_L^* uB\beta \}$

- $\text{Follow}_1(S) \supseteq \{ \epsilon \}$
- $\text{Follow}_1(B) \supseteq F_\epsilon(X_j)$  if  $A \rightarrow \alpha B X_1 \dots X_m \in P,$   
 $\text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_{j-1})$
- $\text{Follow}_1(B) \supseteq \text{Follow}_1(A)$  if  $A \rightarrow \alpha B X_1 \dots X_m \in P,$   
 $\text{empty}(X_1) \wedge \dots \wedge \text{empty}(X_m)$

104 / 150

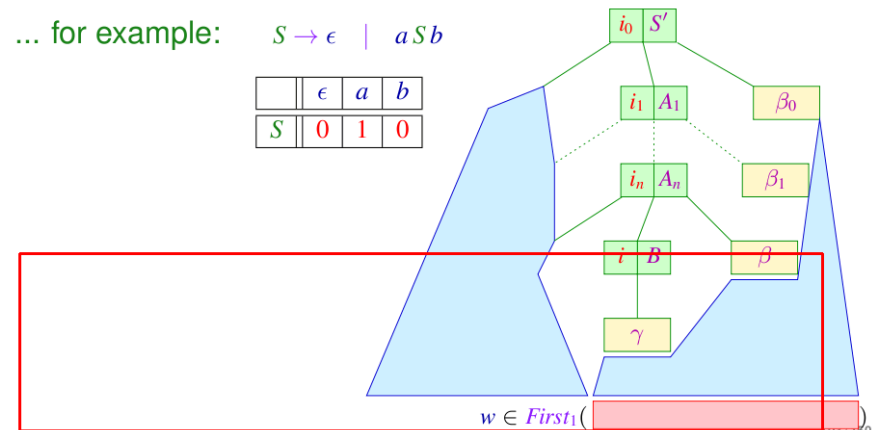
## Item Pushdown Automaton as LL(1)-Parser

Is  $G$  an  $LL(1)$ -grammar, we can index a lookahead-table with items and nonterminals:

We set  $M[B, w] = i$  exactly if  $(B, i)$  is the rule  $B \rightarrow \gamma$  and:  
 $w \in \text{First}_1(\gamma) \odot \bigcup \{ \text{First}_1(\beta) \mid S' \rightarrow_L^* uB\beta \}$ .

... for example:  $S \rightarrow \epsilon \mid aSb$

|     |            |     |     |
|-----|------------|-----|-----|
|     | $\epsilon$ | $a$ | $b$ |
| $S$ | 0          | 1   | 0   |



105 / 150

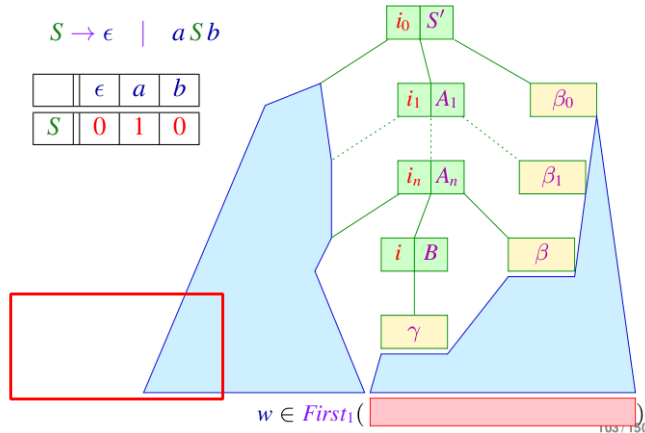
## Item Pushdown Automaton as LL(1)-Parser

Is  $G$  an  $LL(1)$ -grammar, we can index a lookahead-table with items and nonterminals:

We set  $M[B, w] = i$  exactly if  $(\beta, i)$  is the rule  $B \rightarrow \gamma$  and:  
 $w \in \text{First}_1(\gamma) \cap \bigcup \{ \text{First}_1(\beta) \mid S' \rightarrow u B \beta \}$ .

... for example:  $S \rightarrow \epsilon \mid a S b$

|     |            |     |     |
|-----|------------|-----|-----|
|     | $\epsilon$ | $a$ | $b$ |
| $S$ | 0          | 1   | 0   |



## Topdown-Parsing

### Discussion

- A practical implementation of an  $LL(1)$ -parser via **recursive Descent** is a straight-forward idea
- However, **only a subset** of the deterministic contextfree languages can be read this way.

## Topdown-Parsing

### Discussion

- A practical implementation of an  $LL(1)$ -parser via **recursive Descent** is a straight-forward idea
- However, **only a subset** of the deterministic contextfree languages can be read this way.
- **Solution:** Going from  $LL(1)$  to  $LL(k)$
- The size of the occurring sets is rapidly increasing with larger  $k$
- Unfortunately, even  $LL(k)$  parsers are not sufficient to accept all deterministic contextfree languages.
- In practical systems, this often motivates the implementation of  **$k = 1$**  only ...

Syntactic Analysis

## Chapter 4: Bottom-up Analysis



## Bottom-up Analysis

### Attention:

Many grammars are not  $LL(k)$  !

A reason for that is:

### Definition

Grammar  $G$  is called **left-recursive**, if

$$A \rightarrow^+ A\beta \quad \text{for an } A \in N, \beta \in (T \cup N)^*$$

Example:

$$\begin{array}{l|l|l} E \rightarrow E+T & | & T \\ T \rightarrow T*F & | & F \\ F \rightarrow (E) & | & \text{name} \quad | \quad \text{int} \end{array}$$

... is left-recursive

## Bottom-up Analysis

### Theorem:

Let a grammar  $G$  be reduced and **left-recursive**, then  $G$  is not  $LL(k)$  for any  $k$ .

Proof:

Let  $A \rightarrow A\beta | \alpha \in P$   
and  $A$  be reachable from  $S$

Assumption:  $G$  is  $LL(k)$

## Bottom-up Analysis

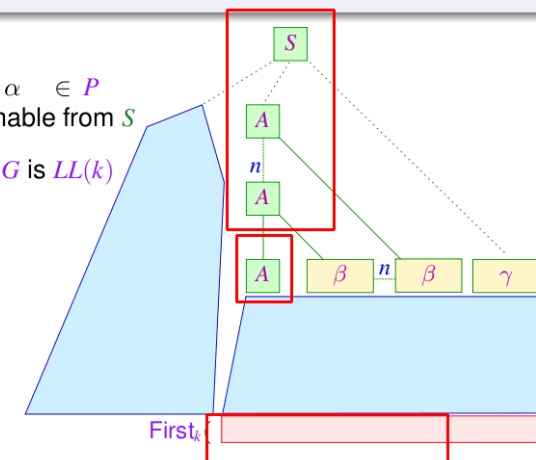
### Theorem:

Let a grammar  $G$  be reduced and **left-recursive**, then  $G$  is not  $LL(k)$  for any  $k$ .

Proof:

Let  $A \rightarrow A\beta | \alpha \in P$   
and  $A$  be reachable from  $S$

Assumption:  $G$  is  $LL(k)$



## Bottom-up Analysis

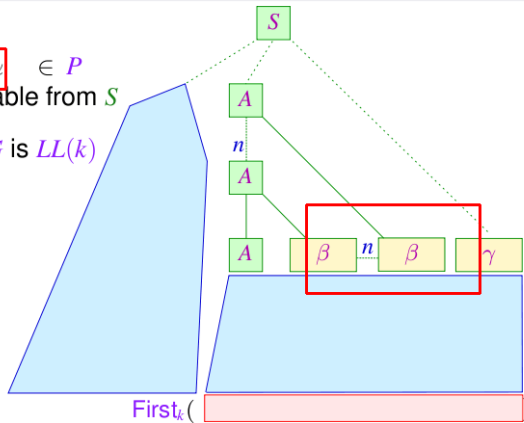
### Theorem:

Let a grammar  $G$  be reduced and left-recursive, then  $G$  is not  $LL(k)$  for any  $k$ .

### Proof:

Let  $A \rightarrow A\beta \mid \alpha \in P$   
and  $A$  be reachable from  $S$

Assumption:  $G$  is  $LL(k)$



109 / 150

## Bottom-up Analysis

### Theorem:

Let a grammar  $G$  be reduced and left-recursive, then  $G$  is not  $LL(k)$  for any  $k$ .

### Proof:

Let  $A \rightarrow A\beta \mid \alpha \in P$   
and  $A$  be reachable from  $S$

Assumption:  $G$  is  $LL(k)$

$$\Rightarrow \text{First}_k(\alpha \beta^n \gamma) \cap \text{First}_k(\alpha \beta^{n+1} \gamma) = \emptyset$$

109 / 150

## Bottom-up Analysis



### Theorem:

Let a grammar  $G$  be reduced and left-recursive, then  $G$  is not  $LL(k)$  for any  $k$ .

### Proof:

Let  $A \rightarrow A\beta \mid \alpha \in P$   
and  $A$  be reachable from  $S$

Assumption:  $G$  is  $LL(k)$

$$\Rightarrow \text{First}_k(\alpha \beta^n \gamma) \cap \text{First}_k(\alpha \beta^{n+1} \gamma) = \emptyset$$

**Case 1:**  $\beta \rightarrow^* \epsilon$  — Contradiction !!!

**Case 2:**  $\beta \rightarrow^* w \neq \epsilon \implies \text{First}_k(\alpha \beta^k \gamma) \cap \text{First}_k(\alpha \beta^{k+1} \gamma) \neq \emptyset$

109 / 150

## Shift-Reduce Parser



Donald Knuth

### Idee:

We delay the decision whether to reduce until we know, whether the input matches the right-hand-side of a rule!

### Konstruktion:

Shift-Reduce parser  $M_G^R$

- The input is shifted successively to the pushdown.
- Is there a complete right-hand side (a handle) atop the pushdown, it is replaced (reduced) by the corresponding left-hand side

110 / 150

## Shift-Reduce Parser

Example:

$S \rightarrow AB$   
 $A \rightarrow a$   
 $B \rightarrow b$

The pushdown automaton:

**States:**  $q_0, f, a, b, A, B, S$ ;  
**Start state:**  $q_0$   
**End state:**  $f$

|         |            |         |
|---------|------------|---------|
| $q_0$   | $a$        | $q_0 a$ |
| $a$     | $\epsilon$ | $A$     |
| $A$     | $b$        | $Ab$    |
| $b$     | $\epsilon$ | $B$     |
| $AB$    | $\epsilon$ | $S$     |
| $q_0 S$ | $\epsilon$ | $f$     |

111 / 150

## Shift-Reduce Parser

Construction:

In general, we create an automaton  $M_G^R = (Q, T, \delta, q_0, F)$  with:

- $Q = T \cup N \cup \{q_0, f\}$  ( $q_0, f$  fresh);
- $F = \{f\}$ ;
- Transitions:

$$\delta = \{(q, x, qx) \mid q \in Q, x \in T\} \cup \quad // \text{ Shift-transitions}$$

$$\{(q\alpha, \epsilon, qA) \mid q \in Q, A \rightarrow \alpha \in P\} \cup \quad // \text{ Reduce-transitions}$$

$$\{(q_0 S, \epsilon, f)\} \quad // \text{ finish}$$

112 / 150

## Shift-Reduce Parser

Construction:

In general, we create an automaton  $M_G^R = (Q, T, \delta, q_0, F)$  with:

- $Q = T \cup N \cup \{q_0, f\}$  ( $q_0, f$  fresh);
- $F = \{f\}$ ;
- Transitions:

$$\delta = \{(q, x, qx) \mid q \in Q, x \in T\} \cup \quad // \text{ Shift-transitions}$$

$$\{(q\alpha, \epsilon, qA) \mid q \in Q, A \rightarrow \alpha \in P\} \cup \quad // \text{ Reduce-transitions}$$

$$\{(q_0 S, \epsilon, f)\} \quad // \text{ finish}$$

Example-computation:

$(q_0, ab) \vdash (q_0 a, b) \vdash (q_0 A, b)$   
 $\vdash (q_0 A b, \epsilon) \vdash (q_0 AB, \epsilon)$   
 $\vdash (q_0 S, \epsilon) \vdash (f, \epsilon)$

112 / 150

## Shift-Reduce Parser

Construction:

In general, we create an automaton  $M_G^R = (Q, T, \delta, q_0, F)$  with:

- $Q = T \cup N \cup \{q_0, f\}$  ( $q_0, f$  fresh);
- $F = \{f\}$ ;
- Transitions:

$$\delta = \{(q, x, qx) \mid q \in Q, x \in T\} \cup \quad // \text{ Shift-transitions}$$

$$\{(q\alpha, \epsilon, qA) \mid q \in Q, A \rightarrow \alpha \in P\} \cup \quad // \text{ Reduce-transitions}$$

$$\{(q_0 S, \epsilon, f)\} \quad // \text{ finish}$$



112 / 150



## Shift-Reduce Parser

### Observation:

- The sequence of reductions corresponds to a **reverse rightmost-derivation** for the input
- To prove correctness, we have to prove:

$$(\epsilon, w) \vdash^* (A, \epsilon) \quad \text{gdw.} \quad A \rightarrow^* w$$

- The shift-reduce pushdown automaton  $M_G^R$  is in general also **non deterministic**
- For a deterministic parsing-algorithm, we have to identify spots for reduction

⇒ LR-Parsing

## Bottom-up Analysis

**Idea:** We reconstruct reverse rightmost-derivations!

Therefore we try to identify the reduction spots for the shift-reduce parser  $M_G^R \dots$

Consider the computations of this pushdown automaton:

$$(q_0 \alpha \gamma, \nu) \vdash (q_0 \alpha B, \nu) \vdash^* (q_0 S, \epsilon)$$

We call  $\alpha \gamma$  a **viable prefix** for the complete item  $[B \rightarrow \gamma \bullet]$ .